

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

JEREMY SHAWN SUTHERLAND,

Petitioner, No. CIV S-05-0532 MCE DAD P

VS.

JEANNE S. WOODFORD,

Respondent. FINDINGS & RECOMMENDATIONS

Petitioner is a state prisoner proceeding through counsel with a petition for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner challenges a judgment of conviction entered against him on April 5, 2002 in the Shasta County Superior Court for second degree murder in violation of California Penal Code § 187(a). He seeks relief on the grounds that: (1) the admission into evidence at his trial of the statements of a non-testifying accomplice violated his rights to due process and to confront the witnesses against him; (2) the admission into evidence of his statements to his friends over the telephone violated his right to due process because the evidence resulted from the use of coercive interrogation tactics; (3) jury instruction error violated his right to due process (claims 3, 4, 5, 6, 7); (4) the prosecutor committed misconduct; and (5) the California Court of Appeal improperly refused to address meritorious appellate arguments, in violation of his right to due process and appellate review. Upon careful

1 consideration of the record and the applicable law, the undersigned will recommend that
2 petitioner's application for habeas corpus relief be denied.

3 PROCEDURAL AND FACTUAL BACKGROUND¹

4 The evidence, viewed in a light most favorable to the judgment
5 (People v. Carpenter (1997) 15 Cal.4th 312, 387, 63 Cal.Rptr.2d 1,
935 P.2d 708), reflects the following:

6 The victim, Thomas Sparks, was a registered sex offender who had
7 spent some time in state prison, apparently on a conviction related
8 to child molestation, and who purportedly was involved in
methamphetamine transactions.

9 Sparks was acquainted with Kimberly Raible, who was aware he
10 had been in prison but did not know the nature of his conviction.
She assumed it had to do with methamphetamine.

11 On the evening of January 20, 2001, Raible was staying at the
12 home of Michael Wayne Griffith. Sparks called, told Raible that
he was in the area, and said he wanted to visit her at her home.
Raible replied that Sparks would have to come to Griffith's house
to see her.

13 That same night, defendant and his friend, James Shane Taylor,
14 had met Sparks at the home of a mutual acquaintance. Sparks
asked them for a ride to Raible's house and offered to give them
15 methamphetamine in return. Defendant and Taylor took Sparks to
Raible's home and then went to Griffith's house. They told Raible
16 that they had left Sparks at her house and that they were there to
take her home.

17 At this point, Carla Cline, who was a long-time friend of Raible's,
18 told her that Sparks was a child molester. Raible became very
upset, and defendant called Sparks's former mother-in-law for
19 confirmation. When the news was confirmed, Raible said she did
not want Sparks in her home. Defendant and Taylor stated they

21 ¹ The following summary is drawn from the December 16, 2003 opinion by the
22 California Court of Appeal for the Third Appellate District, petitioner's lodged document entitled
23 "December 16, 2003, Affirmation of Petitioner's Conviction" (hereinafter Opinion), at pgs 1-4.
This court presumes that the state court's findings of fact are correct unless petitioner rebuts that
24 presumption with clear and convincing evidence. 28 U.S.C. § 2254(e)(1); Davis v. Woodford,
384 F.3d 628, 638 (9th Cir. 2004). "Clear and convincing evidence" within the meaning of §
25 2254(e) "requires greater proof than preponderance of the evidence" and must produce "an
abiding conviction" that the factual contentions being advanced are "highly probable." Cooper v.
Brown, 510 F.3d 870, 919 (9th Cir. 2007) (quoting Sophanhavong v. Palmateer, 378 F.3d 859,
866 (9th Cir. 2004)). Petitioner has not attempted to overcome the presumption with respect to
26 the underlying events. The court will therefore rely on the state court's recitation of the facts.

1 would go and get him out of the house. Defendant said they would
2 need "a pipe or something." Defendant, Taylor, and Cline then left
3 in defendant's mother's car, which defendant was driving that night.
They took with them a pipe wrench that Griffith had on his front
porch.

4 When they arrived at Raible's house, Cline took a plate into the
bedroom and began preparing lines of methamphetamine. After
5 Sparks entered the bedroom and sat next to Cline on the bed,
defendant and Taylor rushed in and attacked him. They beat
6 Sparks with their fists and hit him on both sides of the head with a
blunt instrument with sufficient force to knock holes in his skull.
7 The attack ended when Cline said Sparks had had enough. The
group left him on the bed and took defendant's mother's car back to
8 her house, where they left it. Eventually, they made their way back
to Griffith's house.

9 Thereafter, defendant and Taylor left Griffith's house and went to
10 where Darlene Deptuch was staying. Deptuch knew Taylor but did
11 not consider him a friend. Taylor told her that they needed to
borrow her car, a green Ford Explorer, and said they would give
her \$60 for its use. Deptuch did not want to let them take her car
12 but felt she had no choice in the matter.

13 Deptuch testified that she had cleaned the car inside and outside
14 the day before. But when defendant and Taylor returned it, the car
was a mess. There was soda sprayed all over, there were cigarettes
and splinters in the car, there was mud all over the outside, and the
15 car had a foul smell that she could not identify.

16 It is apparent that defendant and Taylor used Deptuch's car to
dispose of Sparks's body. The body was discovered about a week
17 later in a remote area of the county. It had been pushed down a
steep incline where Taylor's brother, a rancher, occasionally had
18 disposed of dead sheep. Blankets and other bedding materials that
had been taken from Raible's house, including a sleeping bag, were
19 left near the body. Correspondence left with the bedding materials
led investigators to Raible's house. There they discovered that
20 Raible's mattress had been turned over and that the underside was
saturated with the victim's blood.

21 Cline entered into a plea agreement pursuant to which she pled
22 guilty to voluntary manslaughter with a maximum sentence of 11
years and agreed to testify at trial.

23 Taylor entered into an agreement to dispose of this case, as well as
24 a number of unrelated charges pending against him. He pled no
contest to voluntary manslaughter in this case, and to a number of
25 other charges, in return for a stipulated sentence of 21 years.
Taylor's plea agreement did not require him to testify at trial, and
26 he invoked the right not to testify.

Defendant was found guilty of second degree murder. We will note other aspects of the evidence as necessary in connection with our discussion of the issues presented.

ANALYSIS

I. Standards of Review Applicable to Habeas Corpus Claims

A writ of habeas corpus is available under 28 U.S.C. § 2254 only on the basis of some transgression of federal law binding on the state courts. See Peltier v. Wright, 15 F.3d 860, 861 (9th Cir. 1993); Middleton v. Cupp, 768 F.2d 1083, 1085 (9th Cir. 1985) (citing Engle v. Isaac, 456 U.S. 107, 119 (1982)). A federal writ is not available for alleged error in the interpretation or application of state law. See Estelle v. McGuire, 502 U.S. 62, 67-68 (1991); Park v. California, 202 F.3d 1146, 1149 (9th Cir. 2000); Middleton, 768 F.2d at 1085. Habeas corpus cannot be utilized to try state issues *de novo*. Milton v. Wainwright, 407 U.S. 371, 377 (1972).

This action is governed by the Antiterrorism and Effective Death Penalty Act of 1996 (“AEDPA”). See Lindh v. Murphy, 521 U.S. 320, 336 (1997); Clark v. Murphy, 331 F.3d 1062, 1067 (9th Cir. 2003). Section 2254(d) sets forth the following standards for granting habeas corpus relief:

An application for a writ of habeas corpus on behalf of a person in custody pursuant to the judgment of a State court shall not be granted with respect to any claim that was adjudicated on the merits in State court proceedings unless the adjudication of the claim -

(1) resulted in a decision that was contrary to, or involved an unreasonable application of, clearly established Federal law, as determined by the Supreme Court of the United States; or

(2) resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the State court proceeding.

See also *Penry v. Johnson*, 532 U.S. 782, 792-93 (2001); *Williams v. Taylor*, 529 U.S. 362 (2000); *Lockhart v. Terhune*, 250 F.3d 1223, 1229 (9th Cir. 2001). If the state court's decision does not meet the criteria set forth in § 2254(d), a reviewing court must conduct a de novo review.

1 of a habeas petitioner's claims. Delgadillo v. Woodford, 527 F.3d 919, 925 (9th Cir. 2008). See
2 also Frantz v. Hazey, 513 F.3d 1002, 1013 (9th Cir. 2008) (en banc) ("[I]t is now clear both that
3 we may not grant habeas relief simply because of § 2254(d)(1) error and that, if there is such
4 error, we must decide the habeas petition by considering de novo the constitutional issues
5 raised.").

6 The court looks to the last reasoned state court decision as the basis for the state
7 court judgment. Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004). If the last reasoned
8 state court decision adopts or substantially incorporates the reasoning from a previous state court
9 decision, this court may consider both decisions to ascertain the reasoning of the last decision.
10 Edwards v. Lamarque, 475 F.3d 1121, 1126 (9th Cir. 2007) (en banc). Where the state court
11 reaches a decision on the merits but provides no reasoning to support its conclusion, a federal
12 habeas court independently reviews the record to determine whether habeas corpus relief is
13 available under section 2254(d). Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003); Pirtle
14 v. Morgan, 313 F.3d 1160, 1167 (9th Cir. 2002). When it is clear that a state court has not
15 reached the merits of a petitioner's claim, or has denied the claim on procedural grounds, the
16 AEDPA's deferential standard does not apply and a federal habeas court must review the claim
17 de novo. Nulph v. Cook, 333 F.3d 1052, 1056 (9th Cir. 2003).

18 II. Petitioner's Claims

19 A. Admission of Hearsay Statements of Non-Testifying Accomplice

20 Petitioner's first claim is that the admission into evidence at his trial of the
21 hearsay statements of James Taylor, a non-testifying accomplice, violated his rights to due
22 process and to confront the witnesses against him and that the hearsay statements were
23 inadmissible under California law. (Pet. at 6-14.) Petitioner raised these claims on appeal and in
24 a petition for review filed with the California Supreme Court. (Pet'r's Lodged Docs. entitled
25 "Appellant's Opening Brief Dated March 28, 2003" and "January 16, 2004 Petition fore [sic]
26 Review in the California Supreme Court").

1 1. State Court Opinion

2 The California Court of Appeal described the background to petitioner's due
3 process and Confrontation Clause claims and its ruling thereon as follows:

4 Defendant contends the trial court erred in allowing the prosecutor
5 to introduce the extrajudicial statements made by James Taylor,
6 who was one of the participants in the homicide.

7 Tara Burbank was Taylor's former girlfriend. They have a child
8 together who, at the time of trial, was six years old. While the
9 investigation of Sparks's death was continuing in late February or
10 early March 2001, Taylor called and asked Burbank to meet him to
11 discuss some matters. When she did so, Taylor told her that he
12 was under investigation for murder and that other people were
involved. He would not identify the others but did say one was
then in the county jail and the other was at High Desert prison. He
added that one was a sister, meaning a woman. He said that it was
a "white pride thing" and that they were called on to take care of a
man who had molested a niece or granddaughter of someone with
whom they had been hanging out. He said the others could not
finish the job so they looked to him to do so.

13 About a week later, Taylor arranged to meet Burbank again. He
14 told her the police were on to him and he would be moving a
15 couple of states away. Burbank became angry and asked what she
was supposed to tell their son. Taylor said she could tell him that
his daddy went to jail for killing a child molester.

16 Taylor exercised the Fifth Amendment right not to testify. Over
17 defense objection, his statements to Burbank were admitted as
18 declarations against penal interest pursuant to Evidence Code
section 1230.

19 Defendant contends the statements were not admissible under
Evidence Code section 1230, were admitted in violation of his
right to confront and cross-examine witnesses, violated principles
of due process, and should have been excluded pursuant to
Evidence Code section 352.

20 Evidence Code section 1230 provides: "Evidence of a statement by
a declarant having sufficient knowledge of the subject is not made
inadmissible by the hearsay rule if the declarant is unavailable as a
witness and the statement, when made, was so far contrary to the
declarant's pecuniary or proprietary interest, or so far subjected him
to the risk of civil or criminal liability, or so far tended to render
invalid a claim by him against another, or created such a risk of
making him an object of hatred, ridicule, or social disgrace in the
community, that a reasonable man in his position would not have
made the statement unless he believed it to be true."

Where, as here, the prosecution offers a hearsay declaration against a criminal defendant on the basis that the statement was against the declarant's penal interest, the prosecution must establish that (1) the declarant is unavailable, (2) the statement was against his penal interest when made, and (3) the declaration was sufficiently reliable to warrant admission despite its hearsay character. (People v. Lucas (1995) 12 Cal.4th 415, 462, 48 Cal.Rptr.2d 525, 907 P.2d 373.) Since Taylor exercised his Fifth Amendment right not to testify, he was unavailable as a witness. (People v. Duarte (2000) 24 Cal.4th 603, 609, 101 Cal.Rptr.2d 701, 12 P.3d 1110.) Accordingly, we must focus upon whether the statements were against Taylor's penal interest and were otherwise sufficiently reliable to warrant admission.

The fact that an out-of-court statement might be facially inculpatory is not in itself sufficient to support its introduction into evidence as a statement against penal interest. (People v. Duarte, *supra*, 24 Cal.4th at pp. 611-612, 101 Cal.Rptr.2d 701, 12 P.3d 1110.) When accused of criminal behavior, suspects often will attempt to shift blame or curry favor. (*Id.* at p. 612, 101 Cal.Rptr.2d 701, 12 P.3d 1110.) They may admit some complicity while minimizing their roles and attempting to place major responsibility on others. (*Ibid.*) A statement that appears inculpatory may, when considered in context, also be exculpatory or have a net exculpatory effect. (*Ibid.*) Accordingly, such statements must be viewed in context and, in order to be admissible under Evidence Code section 1230, they must appear "specifically dis-serving" to the declarant's penal interests. (*Ibid.*)

In defendant's view, Taylor's statements to Burbank were not sufficiently dis-serving to be admissible under Evidence Code section 1230. We disagree.

Taylor admitted participating in a murder. Although he said others were involved, he did not minimize his own participation or attempt to shift primary blame to them. If anything, his statement that he was called upon to finish the murder when the others could not suggests he was the more deadly or cold-blooded of the killers. His statement that it was a "white pride thing" and he was called on by another person to take the victim out would only serve to make the killing more serious by tending to show premeditation and deliberation. (Pen. Code, § 189.) His statement that the victim was a child molester would in no way tend to exonerate Taylor, and there is nothing in the record to suggest that he believed otherwise.

We also take note of the circumstances under which the statements were made. Specifically, they were not made after an arrest or otherwise in the coercive atmosphere of an official interrogation. (People v. Duarte, *supra*, 24 Cal.4th at p. 617, 101 Cal.Rptr.2d 701, 12 P.3d 1110.) Indeed, they were not made in the face of an

1 accusation at all. Taylor voluntarily arranged to meet Burbank so
2 he could explain to her why he was laying low and then planned to
3 leave the state. The usual motive to curry favor by implicating
4 others or to shift blame in the hope of leniency did not exist in this
5 case. This is relevant both in placing the statements in context and
6 in determining whether they are otherwise sufficiently reliable to
7 warrant their introduction into evidence. (Id. at pp. 612, 617, 101
8 Cal.Rptr.2d 701, 12 P.3d 1110.) When we consider the statements
9 on their face and in light of the circumstances in which they were
10 made, we conclude the trial court did not err in finding them
admissible under Evidence Code section 1230.

11 Defendant contends, however, that introduction of Taylor's
12 statements violated defendant's constitutional right to confront
13 witnesses. In this respect, he relies upon the decision of the United
14 States Supreme Court in Lilly v. Virginia (1999) 527 U.S. 116 [144
15 L.Ed.2d 117] (hereafter Lilly). The conclusions made in Lilly
16 present a mixed bag. In the circumstances of this case, Lilly does
not support defendant's contention.

17 In Lilly, it appeared that three men entered into a crime spree
18 during which they committed a burglary, several robberies, a
19 carjacking, and a murder. Upon their apprehension, one of the
20 culprits, Mark Lilly, made a confession in which he admitted being
21 present and having some limited participation in some of the
22 crimes. However, he described his participation in most of the
23 crimes as a mere drunken presence and said that the others, in
24 particular his brother, Benjamin Lilly, instigated and carried out the
25 crimes. The statements were admitted into evidence in the trial of
26 Benjamin as statements against the penal interest of Mark. The
Supreme Court of the State of Virginia upheld the admission of the
evidence.

On review by certiorari, all of the justices agreed that the judgment
had to be reversed and remanded to the state court. But they
expressed differing viewpoints on the matter. A lead opinion
expressed the views of six justices as to the result, but of only four
justices as to its reasoning. (Lilly, supra, 527 U.S. at p. 120, 119
S.Ct. at p. ___ [144 L.Ed.2d at p. 124].) The four justices noted
that the introduction of hearsay evidence does not offend the
Confrontation Clause when the evidence falls within a firmly
rooted exception to the hearsay rule or contains particularized
guarantees of trustworthiness such that adversarial testing would
add little, if anything, to reliability. (Id. at pp. 124-125 [527 U.S.
at p. ___, 119 S.Ct. at p. ___ 144 L.Ed.2d at p. 127].) Those
justices concluded that a confession of an accomplice that
incriminates a criminal defendant does not fall within a firmly
rooted exception to the hearsay rule. (Id. at p. 134 [527 U.S. at p.
___, 119 S.Ct. at p. ___ 144 L.Ed.2d at p. 133].) Then, relying
heavily on the facts that the statements were the product of
custodial interrogation and that they primarily sought to shift the

1 blame to others, the four justices concluded the statements lacked
2 sufficient guarantees of trustworthiness. (Id. at pp. 138-139 [527
U.S. at pp. ___, 119 S.Ct. at pp. ___, 144 L.Ed.2d at pp. 135-136].)

3 Two justices concurred in the result, but expressed the view that
4 the Confrontation Clause applies only to witnesses who testify at
5 trial and to government – generated extrajudicial statements for use
6 at trial, i.e., “formalized testimonial materials, such as affidavits,
depositions, prior testimony, or confessions.” (Lilly, supra, 527
U.S. at p. 143, 119 S.Ct. at p. ___ [144 L.Ed.2d at p. 138] (conc.
opn. of Scalia, J.) and at pp. 143-144 [527 U.S. at pp. ___, 119
S.Ct. at pp. ___, 144 L.Ed.2d at pp. 138-139] (conc. opn. of
Thomas, J.).) Since the statements at issue clearly fell within that
group, those justices concurred in the judgment. (Ibid.)

7 The remaining three justices also concurred in the judgment. (Lilly,
8 supra, 527 U.S. at p. 144, 119 S.Ct. at p. ___ [144 L.Ed.2d at p.
139] (conc. opn. of Rehnquist, C.J.)). However, they noted the
9 statements of Mark that were against his penal interest were quite
separate in time and place from the statements that exculpated
10 Mark and incriminated Benjamin. (Id. at pp. 144-145 [527 U.S. at
11 p. ___, 119 S.Ct. at p. ___, 144 L.Ed.2d at p. 139].) Concluding the
12 statements of Mark that inculpated Benjamin were not in the least
against Mark's penal interest, those justices saw no reason to go
13 further and preclude consideration of custodial statements that
equally inculpate both the declarant and the defendant. (Id. at p.
14 146 [527 U.S. at p. ___, 119 S.Ct. at p. ___, 144 L.Ed.2d at p.
140].) Moreover, they noted that the statements at issue were part
15 of a custodial confession of the sort the court views with “special
16 suspicion” due to the accomplice's strong motivation to exonerate
himself by implicating another. (Ibid.) The justices said the
17 Supreme Court had previously recognized that statements which
are not government-generated, such as statements to fellow
18 prisoners and confessions to family members or friends, bear
sufficient indicia of reliability to be placed before a jury without
19 confrontation of the declarant, and saw no reason to foreclose the
possibility that such statements may fall under a firmly rooted
20 hearsay exception. (Id. at p. 147 [527 U.S. at p. ___, 119 S.Ct. at
21 p. ___, 144 L.Ed.2d at p. 141].) Accordingly, those justices chose
22 to limit the holding to the facts at issue, i.e., a custodial confession
laying sole responsibility upon another person. (Id. at p. 148 [527
U.S. at p. ___, 119 S.Ct. at p. ___, 144 L.Ed.2d at p. 141].)

23 Here, we are concerned with extrajudicial statements in which the
24 government played no part. Taylor voluntarily arranged to meet
his former girlfriend – the mother of his child – in order to tell her
why he was laying low and then planning to leave the state.
25 Moreover, in his statements Taylor did not lay sole responsibility
on defendant, nor did he attempt to shift primary blame to him.
When we read all of the opinions in Lilly and count the justices, it

1 is apparent that a majority of the justices agreed the decision has no
2 application to these facts.

3 This is not to say extrajudicial statements that are not
4 government-generated do not implicate the Confrontation Clause.
5 That was a view expressed by only two of the justices in Lilly. It
6 appears likely from the four-justice lead opinion and the
7 three-justice concurring opinion that a majority of the justices
8 would agree that the admission of statements which do not actually
9 meet the criteria for introduction as statements against interest
10 would violate the right to confrontation regardless of whether they
11 are government-generated. However, our state Supreme Court has
12 laid down a strict test for the introduction of statements against
13 penal interest. Such statements must be ““specifically discrediting””
14 to the declarant's penal interests and must, in light of the
15 surrounding circumstances, bear sufficient indicia of
16 trustworthiness to warrant being introduced into evidence.”
17 People v. Duarte, supra, 24 Cal.4th at pp. 612, 614, 101
18 Cal.Rptr.2d 701, 12 P.3d 1110.) From our reading of the opinions
19 in Lilly, we are satisfied that, at least with respect to statements
20 which are not government-generated, the introduction of
21 statements that meet this test does not violate the Confrontation
22 Clause.

23 Defendant asserts that introduction of the hearsay statements
24 violated principles of due process. “[T]he state has power to
25 regulate the procedures under which its laws are carried out, and a
26 rule of evidence in this regard ‘is not subject to proscription under
the Due Process Clause unless “it offends some principle of justice
so rooted in the traditions and conscience of our people as to be
ranked as fundamental.” [Citations.]’” (People v. Fitch (1997) 55
Cal.App.4th 172, 178-179, 63 Cal.Rptr.2d 753.) The specific
guarantees included in the Bill of Rights are fundamental
principles but, beyond those guarantees, the due process clause has
limited operation. (Id. at p. 179, 63 Cal.Rptr.2d 753.)
Accordingly, “[o]ne raising a due process claim to exclude relevant
evidence must sustain a heavy burden.” (Ibid.)

Defendant does not develop his due process argument beyond
asserting that the evidence was unreliable. The ultimate
determination whether evidence is reliable is for the trier of fact.
To be admissible before the trier of fact as a statement against
penal interest, hearsay evidence must meet a threshold of
trustworthiness. (People v. Duarte, supra, 24 Cal.4th at p. 614, 101
Cal.Rptr.2d 701, 12 P.3d 1110 .) Where, as here, challenged
evidence meets the required threshold of trustworthiness, due
process is satisfied.

Defendant contends the evidence should have been excluded as
unduly prejudicial pursuant to Evidence Code section 352, which
gives a trial court the discretion to exclude otherwise admissible

evidence if its probative value is substantially outweighed by the probability that its admission will necessitate undue consumption of time, or create substantial danger of undue prejudice, of confusing the issues, or of misleading the jury. The decision whether to exclude otherwise admissible evidence is committed to the broad discretion of the trial court and cannot be disturbed on appeal absent a clear abuse thereof. (People v. Scheid (1997) 16 Cal.4th 1, 19, 65 Cal.Rptr.2d 348, 939 P.2d 748.)

In his statements to Burbank, Taylor did not specifically implicate defendant in the murder. He told her that he would not identify the other participants because he did not want her involved. However, when considered with the other evidence at trial, the evidence had substantial probative value. In two interviews with investigators, defendant claimed to have been with Taylor throughout the relevant period. Numerous witnesses placed them together before the murder, after the murder but before disposal of the body, and after disposal of the body. Following his second interview, while defendant was in jail on an unrelated charge, he made monitored telephone calls in which he urged his friends to relay his version of the events to Taylor, to tell Taylor not to say anything to the police, and to tell him to demand a lawyer because they could not talk to him if he demanded a lawyer. In light of this evidence, Taylor's admission that he was involved in the murder tended to establish that defendant also was involved.

Defendant argues Taylor's statement that there was a connection between the murder and a "white pride thing" was prejudicial because it suggested a gang affiliation. Evidence of gang membership can be relevant in a murder trial for a variety of reasons, such as proof of motive. (People v. Maestas (1993) 20 Cal.App.4th 1482, 1497, 25 Cal.Rptr.2d 644.) But where such evidence has no relevance to the issues, it is inadmissible and may present a danger of undue prejudice. (Id. at pp. 1497-1498, 25 Cal.Rptr.2d 644.)

Taylor's statements indicated there was a white pride motive to the murder and were thus facially relevant. But the white pride issue was otherwise undeveloped in the evidence, and the prosecutor did not contend there was a white pride motive for the murder.² In view of the prosecutor's theory and the other evidence in the case, we agree that the white pride statements were not themselves relevant.

² The prosecutor theorized that defendant and Taylor were inclined to rob Sparks for the drugs and money they believed him to have. The news that Sparks was a child molester and Raible's statement that she wanted him out of her house served to solidify the thought of beating and robbing Sparks because they believed that no one would care what happened to a child molester. The prosecutor made no effort to suggest that they acted at the request or direction of a white pride person.

1 However, defendant did not object in the trial court on this ground.
2 He sought to preclude Burbank's testimony in its entirety. When
3 defendant's objections based on Evidence Code section 1230 and
4 confrontation grounds were overruled, he interposed an objection
5 pursuant to Evidence Code section 352 saying: "Basically, it's
6 statements that don't really contain a lot other than putting the
7 defendant at the location and suggesting that at least some of the
8 party had a significant involvement in it."

9 In order to preserve for appeal an objection to introduction of
10 evidence, a party must object in the trial court, state the specific
11 grounds of objection, and specify the particular evidence that he
12 wishes to exclude. (People v. Harris (1978) 85 Cal.App.3d 954,
13 957, 149 Cal.Rptr. 860.) When evidence is in part admissible and
14 in part inadmissible, the inadmissible portion cannot be reached by
15 an objection to the evidence in its entirety; rather, the inadmissible
16 portion must be specified. (Ibid.) By failing to specifically object
17 to the white pride aspect of the evidence, or to make an argument
18 based thereon, defendant deprived the trial court of the opportunity
19 to consider excluding that portion of the evidence. (People v.
20 Morris (1991) 53 Cal.3d 152, 196, 279 Cal.Rptr. 720, 807 P.2d
21 949, disapproved on another ground in People v. Stansbury (1995)
22 9 Cal.4th 824, 830, fn. 1, 38 Cal.Rptr.2d 394, 889 P.2d 588.)
23 Thus, objection to that portion of the evidence was waived. (Ibid.)

24 To the extent that defendant argues the white pride aspect of the
25 evidence should have required exclusion of Burbank's testimony in
26 its entirety, we reject the contention. In light of the other evidence,
1 Taylor's statements to Burbank had substantial probative value.
2 The potential for prejudice from the brief white pride references
3 did not so clearly outweigh the probative value of the evidence that
4 we could find an abuse of the trial court's discretion in refusing to
5 exclude the evidence in its entirety.

6 (Opinion at 4-15.)

7 **2. Applicable Legal Standards**

8 The Confrontation Clause of the Sixth Amendment provides that "[i]n all criminal
9 prosecutions, the accused shall enjoy the right . . . to be confronted with the witnesses against
10 him. . ." U.S. Const. amend. VI. This right, extended to the States by the Fourteenth
11 Amendment, includes the right to cross-examine witnesses. Cruz v. New York, 481 U.S. 186,

12 ////

13 ////

14 ////

1 189 (1987) (citing Pointer v. Texas, 380 U.S. 400, 404 (1965)).³ “The central concern of the
2 Confrontation Clause is to ensure the reliability of the evidence against a criminal defendant by
3 subjecting it to rigorous testing in the context of an adversary proceeding before the trier of fact.”
4 Lilly v. Virginia, 527 U.S. 116, 124 (1999) (quoting Maryland v. Craig, 497 U.S. 836, 845
5 (1990)). See also Davis v Alaska, 415 U.S. 308, 315 (1974) (a primary interest secured by the
6 Confrontation Clause is the right of cross-examination). At the time of petitioner’s trial, federal
7 law provided that an unavailable witness’s out-of-court statement could be admitted against a
8 criminal defendant and not run afoul of the Confrontation Clause so long as it bore adequate
9 indicia of reliability – i.e., fell within a “firmly rooted hearsay exception” or otherwise bore
10 “particularized guarantees of trustworthiness” such that adversarial testing would be expected to
11 add little, if anything, to the statement’s reliability. Ohio v. Roberts, 448 U.S. 56, 66 (1980);
12 Lilly, 527 U.S. at 124-25.

13 Shortly after petitioner’s trial was concluded, the United States Supreme Court
14 held that the Confrontation Clause bars the state from introducing into evidence out-of-court
15 statements which are “testimonial” in nature unless the witness is unavailable and the defendant
16 had a prior opportunity to cross-examine the witness, regardless of whether such statements are
17 deemed reliable. After the petition and traverse were filed in this matter, the United States
18 Supreme Court announced that the holding in Crawford does not apply retroactively to
19 convictions that became final before Crawford was decided. Whorton v. Bockting, 549 U.S. 406,
20 421 (2007). Crawford was decided nine days prior to the denial of petitioner’s petition for
21 review by the California Supreme Court. However, “[a] state conviction and sentence become
22 final for purposes of retroactivity analysis when the availability of direct appeal to the state courts
23 has been exhausted and the time for filing a petition for a writ of certiorari has elapsed or a
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³ A witness is considered to be a witness “against” a defendant for purposes of the
26 Confrontation Clause if his testimony “is part of the body of evidence that the jury may consider
in assessing his guilt.” Cruz v. New York, 481 U.S. 186, 190 (1987).

1 timely filed petition has been finally denied.” Caspari v. Bohlen, 510 U.S. 383, 390 (1994).
2 Under this definition, petitioner’s conviction had not yet become final at the time of the
3 Crawford decision. Accordingly, the holding in Crawford is applicable to petitioner’s
4 Confrontation Clause claim before this court.

5 3. Analysis

6 a. Confrontation Clause

7 Petitioner was not able to cross-examine Taylor at trial regarding his statements to
8 Burbank because Taylor invoked his Fifth Amendment privilege not to testify and was therefore
9 rendered “unavailable.” See California v. Green, 399 U.S. 149, 168 n.17 (1970) (a witness who
10 properly invokes the Fifth Amendment privilege is not available for cross-examination);
11 Whelchel v. Washington, 232 F.3d 1197, 1204 (9th Cir. 2000) (same). Petitioner was also
12 unable to cross-examine Taylor at the time he made the out-of-court statements to Burbank.
13 Accordingly, if Taylor’s statements were “testimonial in nature,” petitioner is entitled to relief on
14 his Confrontation Clause claim. Crawford, 541 U.S. at 68.

15 “The Supreme Court has yet to define the extent to which rights under the
16 Confrontation Clause are applied to testimonial and nontestimonial statements.” United States v.
17 Norwood, 555 F.3d 1061, 1065 -1066 (9th Cir. 2009). In this regard, in Crawford the Supreme
18 Court declined “to spell out a comprehensive definition of ‘testimonial.’” 541 U.S. at 68 & n.10.
19 However, the court did describe three “formulations of [the] core class of testimonial
20 statements.” Id. at 51-52. The first formulation was described as “ex parte in-court testimony or
21 its functional equivalent – that is, material such as affidavits, custodial examinations, prior
22 testimony that the defendant was unable to cross-examine or similar pretrial statements that
23 declarants would reasonably expect to be used prosecutorially.” Id. at 51. The second
24 formulation was described as “extrajudicial statements . . . contained in formalized testimonial
25 materials, such as affidavits, depositions, prior testimony, or confessions.” Id. at 51-52 (quoting
26 White v. Illinois, 502 U.S. 346, 365 (1992)). The third formulation described statements that

were “made under circumstances which would lead an objective witness reasonably to believe that the statement would be available for use at a later trial.” Id. at 52. The court noted that “[w]hatever else the term [testimonial] covers, it applies . . . to prior testimony at a preliminary hearing, before a grand jury, or at a former trial, and to police interrogations.” Id. at 68. With regard to statements that are not testimonial, the Supreme Court stated that “it is wholly consistent with the Framers' design to afford the States flexibility in their development of hearsay laws . . . as would an approach that exempted such [nontestimonial] statements from Confrontation Clause scrutiny altogether.” Id.

In Davis v. Washington, 547 U.S. 813, 821-33 (2006), a case involving a call during an ongoing emergency to a 911 operator (deemed a police agent) the United States Supreme Court elaborated on the holding in Crawford, exploring the parameters of statements which were “testimonial” in nature. The court noted that only “testimonial” statements “cause the declarant to be a ‘witness’ within the meaning of the Confrontation Clause.” Id. at 821. The court in Davis, however, declined to address “whether and when statements made to someone other than law enforcement personnel are ‘testimonial.’” Id. at 823 n.2.

The Ninth Circuit has concluded that statements contained in the diary of petitioner’s wife were not testimonial because the diary was not created “under circumstances which would lead an objective witness reasonably to believe that [it] would be available for use at a later trial.” Parle v. Runnels, 387 F.3d 1030, 1037 (9th Cir. 2004). In Horton v. Allen, 370 F.3d 75, 83 (1st Cir. 2004), the First Circuit determined that the petitioner’s statements to an acquaintance were not testimonial in nature because they were not made to law enforcement personnel and were not given under circumstances in which an objective person would reasonably believe that the statements would be available for use at a later trial. Id. at 85. Finally, the Eighth Circuit has held that the Confrontation Clause did not bar the use at defendant’s trial of statements made to third parties because the statements “were made to loved

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1 ones or acquaintances and are not the kind of memorialized, judicial-process-created evidence of
2 which Crawford speaks.” United States v. Manfre, 368 F.3d 832, 838 (8th Cir. 2004).

3 After comparing the circumstances of this case to those presented in the cases
4 cited above, this court concludes that Taylor’s statements to Burbank were not testimonial in
5 nature. There is no evidence in the record suggesting that Taylor made the statements for the
6 purpose of supplying evidence to the prosecution. Rather, the conversation was between two
7 private individuals, without any active participation by a government official. Further, as noted
8 by the state appellate court, in his statement to the mother of his child, Taylor made no effort to
9 minimize his own guilt or to shift the blame. The statements were not contained in formalized
10 documents such as affidavits, depositions, or prior testimony transcripts, and were not made as
11 part of a confession resulting from custodial examination. Nor were Taylor’s statements made
12 under circumstances in which an objective person would “reasonably believe that the statement
13 would be available for use at a later trial.” Crawford, 541 U.S. at 52. Because Taylor’s
14 statements were non-testimonial in nature, the Confrontation Clause did not prevent their
15 admission at petitioner’s trial. See Norwood, 555 F.3d at 1066 (noting that the Ninth Circuit has
16 “interpreted the Supreme Court’s ruling in Crawford to allow the admission of nontestimonial
17 statements without scrutiny under the Confrontation Clause”).⁴

18 Even when analyzed under the prior Roberts test, the admission into evidence of
19 Taylor’s statements did not violate the Confrontation Clause. As noted above, at the time of
20 petitioner’s trial, Roberts and Idaho v. Wright, 497 U.S. 805, 819 (1990) governed the
21 admissibility of hearsay evidence in a criminal case for purposes of the Confrontation Clause.
22 Under the holdings in those cases, Taylor’s statements to Burbank were admissible only if they
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24 _____
25 ⁴ Petitioner argues that Taylor’s guilty plea, which was “tied to his admissions to . . .
26 Burbank,” was a prior “testimonial statement” which rendered Taylor’s statements to Burbank
inadmissible at his trial. (Traverse at 4-6.) The court rejects that argument. The relevant
testimonial statements for purposes of Confrontation Clause analysis are Taylor’s statements to
Burbank, not Taylor’s guilty plea.

1 bore “adequate indicia of reliability” – that is, if the statements fell within a “firmly rooted
2 hearsay exception” or contained “particularized guarantees of trustworthiness.” Roberts, 448
3 U.S. at 66; Wright, 497 U.S. at 815-16.

4 Accomplice confessions that incriminate a criminal defendant are not deemed to
5 fall within a firmly rooted exception to the hearsay rule. Lilly, 527 U.S. at 134. Therefore,
6 incriminating statements by a non-testifying co-defendant may be admitted against another
7 defendant only if they satisfy the “particularized guarantees of trustworthiness” prong of Roberts.
8 Lilly, 527 U.S. at 134-37. See also Lee v. Illinois, 476 U.S. 530, 543 (1986); Forn v. Hornung,
9 343 F.3d 990, 996-97 (9th Cir. 2003). Whether a statement bears “particularized guarantees of
10 trustworthiness” must be shown from the totality of the circumstances surrounding the making of
11 the statement, not from the trial evidence as a whole. Wright, 497 U.S. at 819. The “relevant
12 circumstances include only those that surround the making of the statement and that render the
13 declarant particularly worthy of belief.” Id.

14 After a thorough analysis of relevant United States Supreme Court authority, the
15 California Court of Appeal reasonably concluded that Taylor’s statements to Burbank bore
16 sufficient indicia of trustworthiness to be admissible at petitioner’s trial. As noted by the state
17 court, at the time Taylor made the statements he had not been accused of any crime. Therefore,
18 his statements were not made after an arrest or during an official interrogation of any kind.
19 Further, Taylor met with Burbank for the purpose of explaining his intended absence to her and
20 to their son. He did not make the statements in order to “curry favor by implicating others or to
21 shift blame in the hope of leniency.” (Opinion at 8.) Contrary to petitioner’s arguments, Taylor
22 did not attempt to shift blame for the killing to petitioner; indeed, he did not mention any other
23 person by name and he identified himself as the actual killer. This court agrees with the state
24 trial court’s observation that “there isn’t really any – much in terms of shifting responsibility”
25 contained in Taylor’s statements. (RT at 664.) Further, Taylor had nothing to gain by describing
26 the circumstances of the crime to Burbank. Indeed, he was reportedly shaking, crying, and scared

1 at the time he made the statements. (*Id.* at 684.) Under these circumstances, Taylor's statements
2 were so apparently truthful that cross-examination would have been of only marginal utility.
3 Because Taylor's statements to Burbank bore sufficient indicia of trustworthiness, petitioner's
4 rights under the Confrontation Clause were not violated by their admission into evidence at his
5 trial.

6 For all of the foregoing reasons, the conclusion of the California Court of Appeal
7 that petitioner's rights under the Confrontation Clause were not violated by the admission into
8 evidence of Taylor's statements to Burbank is not contrary to or an unreasonable application of
9 federal law. Accordingly, petitioner is not entitled to relief on this claim.

10 b. Due Process

11 Relying on the decision in White v. Illinois, 502 U.S. at 363-64, petitioner next
12 argues that the admission into evidence of Taylor's statements to Burbank violated his right to
13 due process. (Pet. at 12-13.) He contends that "the use of blame-shifting accomplice hearsay
14 poses substantial reliability problems." (*Id.* at 12.) In White, the United States Supreme Court
15 opined that the introduction into evidence of unreliable hearsay evidence is more amenable to
16 analysis under the Due Process Clause rather than the Confrontation Clause. 502 U.S. at 363-64
17 (Thomas, J., concurring).

18 The California Court of Appeal concluded that the introduction of Taylor's
19 statements into evidence did not violate petitioner's due process right to a fair trial because they
20 met a sufficient threshold of trustworthiness and did not "offend[] some principle of justice so
21 rooted in the traditions and conscience of our people as to be ranked as fundamental." (Opinion
22 at 12.) A state court's evidentiary ruling, even if erroneous, is grounds for federal habeas relief
23 on due process grounds only if it "is so unduly prejudicial that it renders the trial fundamentally
24 unfair," Payne v. Tennessee, 501 U.S. 808, 825 (1991), and "violates those fundamental
25 conceptions of justice which lie at the base of our civil and political institutions, and which
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1 define the community's sense of fair play and decency." Dowling v. United States, 493 U.S. 342,
2 353 (1990).

3 This court agrees with the conclusion of the California Court of Appeal that the
4 admission into evidence of Taylor's statements to Burbank did not constitute a due process
5 violation. For the reasons explained above, the statements carried sufficient indicia of
6 trustworthiness so that their admission into evidence at petitioner's trial did not render the
7 proceedings fundamentally unfair. Accordingly, petitioner is not entitled to relief on his due
8 process claim.

9 c. "White Pride" Comment

10 Petitioner also claims that Taylor's statement to the effect that the killing was a
11 "white pride thing" were unduly inflammatory and prejudicial. (Pet. at 14.) He argues that this
12 statement "injected an unnecessary and inflammatory mention of White Pride gangs into this
13 case" and should have been excluded from evidence at his trial. (Id.) The California Court of
14 Appeal agreed that this "white pride" evidence was irrelevant to the issues raised by petitioner's
15 trial, especially in light of the fact that the prosecutor did not contend there was a white pride
16 motive for the murder or otherwise highlight Taylor's comment that the killing was a "white
17 pride thing." (Opinion at 14.) However, as set forth above, the state appellate court concluded
18 that petitioner had waived any objection to the admission into evidence of Taylor's mention of
19 "white pride" because of his failure to state a specific objection thereto at trial. (Id. at 15.)
20 Respondent argues that the state court's ruling on petitioner's claim with regard to the "white
21 pride" statement constitutes a procedural bar precluding this court from addressing the merits of
22 this claim.

23 i. Procedural Default

24 State courts may decline to review a claim based on a procedural default.
25 Wainwright v. Sykes, 433 U.S. 72, 81-82 (1977). As a general rule, a federal habeas court "will
26 not review questions of federal law presented in a habeas petition when the state court's decision

1 rests upon a state-law ground that ‘is independent of the federal question and adequate to support
2 the judgment.’” Cone v. Bell, ___ U.S. ___, 129 S.Ct. 1769, 1780 (2009) (quoting Coleman v.
3 Thompson, 501 U.S. 722, 729 (1991)). The state rule for these purposes is only “adequate” if it
4 is “firmly established and regularly followed.” Calderon v. United States District Court (Bean),
5 96 F.3d 1126, 1129 (9th Cir. 1996) (quoting Ford v. Georgia, 498 U.S. 411, 424 (1991)). See
6 also Scott v. Schriro, ___ F.3d ___, 2009 WL 1519878, *4 (9th Cir. June 2, 2009) (“To constitute
7 an adequate and independent state procedural ground sufficient to support a state court’s finding
8 of procedural default, “a state rule must be clear, consistently applied, and well-established at the
9 time of petitioner’s purported default.”); Bennett v. Mueller, 322 F.3d 573, 583 (9th Cir. 2003)
10 (“[t]o be deemed adequate, the state law ground for decision must be well-established and
11 consistently applied.”) The state rule must also be “independent” in that it is not “interwoven
12 with the federal law.” Park v. California, 202 F.3d 1146, 1152 (9th Cir. 2000) (quoting Michigan
13 v. Long, 463 U.S. 1032, 1040-41 (1983)). Even if the state rule is independent and adequate, the
14 claims may be reviewed by the federal court if the petitioner can show: (1) cause for the default
15 and actual prejudice as a result of the alleged violation of federal law; or (2) that failure to
16 consider the claims will result in a fundamental miscarriage of justice. Coleman, 501 U.S. at
17 749-50.

18 Respondents have met their burden of adequately pleading an independent and
19 adequate state procedural ground as an affirmative defense. See Bennett, 322 F.3d at 586.
20 Petitioner does not deny that his trial counsel did not raise a contemporaneous objection on due
21 process grounds at trial to the admission into evidence of Burbank’s “white pride” comment. He
22 asserts, however, that counsel made an objection to this evidence in his motion for new trial and
23 argues that this objection was sufficient to preserve the issue for appeal. (Pet. at 15.)
24 Alternatively, petitioner argues that his trial counsel objected to Burbank’s statements “as a
25 whole on Evidence Code § 352 grounds, which would encompass an objection to irrelevant and
26 unduly inflammatory evidence.” (Id.)

Under California law, “[a] verdict may not be set aside on the basis of the erroneous admission of evidence, even if prejudicial, unless the party asserting error has preserved the question by a timely and specific objection to the admission of the evidence, or by a motion to strike or exclude the evidence.” People v. Williams, 44 Cal.3d 883, 906-07 (1988). “While no particular form of objection is required . . . the objection must be made in such a way as to alert the trial court to the nature of the anticipated evidence and the basis on which exclusion is sought, and to afford the People an opportunity to establish its admissibility.” Id. “The circumstances in which an objection is made should be considered in determining its sufficiency.” Id. In addition, California law provides that a verdict shall not be set aside by reason of the erroneous admission of evidence unless:

(a) There appears of record an objection to or a motion to exclude or to strike the evidence that was timely made and so stated as to make clear the specific ground of the objection or motion; and (b) The court which passes upon the effect of the error or errors is of the opinion that the admitted evidence should have been excluded on the ground stated and that the error or errors complained of resulted in a miscarriage of justice.

(Cal. Evid. Code § 353.)

The state court record reflects that petitioner’s counsel made the following objection to Burbank’s testimony:

MR. WEBSTER: (petitioner’s trial counsel): I’d also interpose a 352 objection, your Honor, for the record.

THE COURT: Sure. You want to articulate that?

MR. WEBSTER: Well, basically, that the statements are more prejudicial than probative.

(RT at 664.) Later in the proceedings, petitioner’s counsel objected to the entirety of Burbank’s testimony on the grounds that she may have had a motive to fabricate evidence against Taylor in order to get him into prison and out of her life. (Id. at 697-700.) In neither instance did petitioner’s trial counsel specifically mention Burbank’s testimony regarding “white pride” in making his objection.

1 In light of the authorities cited above, this court rejects petitioner's argument that
2 counsel's objections during trial to the admissibility of Burbank's testimony preserved a
3 challenge to the statements she attributed to Taylor about "white pride." Defense counsel's first
4 objection, described above, was extremely vague, and made no mention of the prejudice inflicted
5 on petitioner's defense by the insinuation that he was involved in gang activities. Counsel's
6 second objection to the testimony was apparently on a different ground altogether. In short,
7 petitioner's objections to the admissibility of Taylor's statements were too vague to preserve the
8 issue regarding the alleged erroneous admission of the "white pride" testimony for appeal.

9 Petitioner has also failed to support his argument that his objection in the motion
10 for new trial to the admissibility of Taylor's statements about "white pride" was sufficient to
11 overcome a procedural default. On the contrary, petitioner's objection to this evidence, made
12 after the trial was over, does not appear to have been "timely" within the meaning of § 353 of the
13 California Evidence Code. See People v. Morris, 53 Cal. 3d 152, 190 (1991), overruled on
14 another point in People v. Stansbury, 9 Cal. 4th 824, 830 n.1 (1995)), petition for writ of habeas
15 corpus granted in part on other grounds in Morris v. Woodford, 273 F.3d 826 (9th Cir. 2001)
16 (Evidence Code § 353 requires, among other things, that an objection to evidence be made "at a
17 time before or during trial when the trial judge can determine the evidentiary question in its
18 appropriate context"); 3 Witkin, Cal. Evidence (4th ed. 2000) Presentation at Trial, § 371, pp.
19 459-460 ("Where inadmissible evidence is offered, the party who desires to raise the point of
20 erroneous admission on appeal must object at the trial, specifically stating the grounds of the
21 objection, and directing the objection to the particular evidence that the party seeks to exclude").

22 Petitioner has failed to demonstrate that his trial counsel made a proper
23 contemporaneous objection to the challenged evidence. Petitioner has also failed to meet his
24 burden of asserting specific factual allegations demonstrating the inadequacy of California's
25 contemporaneous-objection rule as unclear, inconsistently applied or not well-established, either
26 as a general rule or as applied to him. Bennett, 322 F.3d at 586; Melendez v. Pliler, 288 F.3d

1 1120, 1124-26 (9th Cir. 2002). Petitioner's claim is therefore procedurally barred. See Coleman,
2 501 U.S. at 747; Harris v. Reed, 489 U.S. 255, 264 n.10 (1989); Paulino v. Castro, 371 F.3d
3 1083, 1092-93 (9th Cir. 2004) (claim that defendant's due process rights were violated by the
4 trial court's failure to instruct sua sponte on the definition of "major participant" was
5 procedurally barred because counsel failed to make a contemporaneous objection to the
6 instruction at trial). Petitioner has failed to demonstrate that there was cause for his procedural
7 default or that a miscarriage of justice would result absent review of the claim by this court. See
8 Coleman, 501 U.S. at 748; Vansickel v. White, 166 F.3d 953, 957-58 (9th Cir. 1999). The court
9 is therefore precluded from considering the merits of this claim.

10 ii. Merits of Claim

11 Nonetheless, even were this claim not procedurally barred, it lacks merit and relief
12 should be denied. The admission into evidence of Taylor's statements regarding "a white pride
13 thing" did not render petitioner's trial fundamentally unfair.⁵ The brief and somewhat confusing

14 ⁵ Burbank's exact testimony was as follows:

15 Q. And did he mention that either he or any of these other people
16 were involved in some sort of organization at that time?

17 A. He told me that the people that he was hanging out with were
18 no joke. That they were serious. That they had been called on to
19 take care of a man who had molested a niece or a granddaughter of
 someone they had been hanging with.

20 Q. Okay. Did he – and did he tell you what type of people these
 were that were no joke?

21 A. I think he – I believe he referred to it as like a white pride thing.

22 Q. And did he tell you actually what he was called on to do?
23 Well, let me rephrase that. At that meeting did he tell you what he
 was called on to do?

24 A. He told me that somebody was called to take the gentleman out
25 and that they couldn't finish the job and that they looked at him to
 finish it.

26 (RT at 684.)

reference to an “organization” and “white pride” was not unduly prejudicial, was not mentioned or highlighted by the prosecutor in the presentation of his case, did not indicate that petitioner was a gang member, and could not have had a significant impact on the jury verdict in this case. See Brecht v. Abrahamson, 507 U.S. 619, 637 (1993) (On collateral review, an error is harmless if it could not have had a "substantial and injurious effect or influence in determining the jury's verdict"). The presentation of this evidence did not “fatally infect” the trial so as to render the proceedings fundamentally unfair.” Jammal v. Van de Kamp, 926 F.2d 918, 919 (9th Cir. 1991). Accordingly, petitioner is not entitled to relief on this due process claim.

d. State Law

Petitioner also claims that the admission into evidence of Taylor’s hearsay statements at his trial violated California Evidence Code § 1230. (Pet. at 13-14.) The state appellate court’s conclusion that Taylor’s testimony met the requirements for admissibility under that state law provision is binding on this court. See Estelle, 502 U.S. at 67-68 (a federal writ is not available for alleged error in the interpretation or application of state law); Aponte v. Gomez, 993 F.2d 705, 707 (9th Cir. 1993) (federal courts are “bound by a state court’s construction of its own penal statutes”); Oxborrow v. Eikenberry, 877 F.2d 1395, 1399 (9th Cir. 1989) (a federal habeas court must defer to the state court’s construction of its own penal code unless its interpretation is “untenable or amounts to a subterfuge to avoid federal review of a constitutional violation”). Accordingly, petitioner’s arguments regarding the trial court’s failure to comply with the requirements of California Evidence Code § 1230 should be rejected.

B. Admission of Petitioner’s Statements/Coercive Police Interrogation Tactics

Petitioner’s next claim is that the admission into evidence of inculpatory statements he made to his friends over the telephone after he was questioned by the police violated his right to due process because the statements came about as a result of coercive interrogation tactics and outrageous government conduct.

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1 1. State Opinion

2 The California Court of Appeal described the background to this claim and its
3 decision thereon, as follows:

4 Defendant's next challenge is to the introduction of his
5 extra-judicial statements. In his view, those statements should
6 have been excluded because they were obtained "by exploiting
improperly coercive and law-breaking interrogation tactics" that
violated due process of law.

7 After the murder, defendant received information that the
8 investigators wanted to talk to him. So he arranged to go in for an
9 interview. During the interview, defendant said the following: He
10 had met the victim, Sparks, once some time before the murder and
had not seen the victim since then. He had not been at Raible's
house for two and one-half to three months. On the weekend of the
murder, he spent Saturday night with Taylor, first at dinner with
some friends and then just driving around. Around midnight, he
took Taylor home and then went to his house to sleep. He slept
late on Sunday. He had not been at Griffith's house on that
weekend. After defendant had told his story, the investigators
confronted him with information they had been gathering.
Defendant denied that their information was accurate and
terminated the interview.

14 About a month later, defendant was in custody on an unrelated
charge. He sent a note to the investigators and asked to speak with
them. Defendant said that he had had time to think about what he
did the weekend of the murder and added that, in the prior
interview, he had been covering up his use of methamphetamine.
On this occasion, defendant admitted that he and Taylor had met
the victim at a friend's house on Saturday night. The victim asked
for a ride to Raible's house in return for methamphetamine, and
defendant agreed. However, when defendant, Taylor, and the
victim were outside alone, another person whom defendant did not
know came by and the victim went with him, supposedly to
Raible's house. Defendant and Taylor went to Griffith's house,
where the conversation about the victim's child molestation
background occurred. Eventually defendant, Taylor, and Cline
went to Raible's house in order to use methamphetamine without
having to share, and to bring the victim back to Griffith's house.
But when they arrived, there was no one at Raible's house. They
left defendant's mother's car at defendant's house and made their
way back to Griffith's house. They later borrowed Deptuch's car
because they were otherwise without a vehicle.

25 After hearing this revised version of events, investigators began to
26 confront defendant. Among other things, they told him that Cline
had confessed and had implicated defendant and Taylor. And they

1 showed defendant a falsified report, purportedly from the
2 Department of Justice. The report stated, in relevant part: “The
3 carpeting from the Ford Explorer was visually examined for the
4 presence of blood, hair and fibers. Red, brown stains appearing to
5 be dried blood were chemically tested and gave a positive
presumptive test for blood. A few hairs and/or fibers were
collected from the Ford Explorer carpeting. The fibers collected
were consistent with fibers removed from bedding retrieved at the
scene where Thomas Sparks’ body was located.”

6 Defendant continued to deny involvement. When he said that he
7 wanted to consult a lawyer, the investigators initially did not cease
questioning, but then their captain entered and stopped the
interview. Following termination of the interview, defendant was
8 returned to the jail, where he made four monitored and recorded
telephone calls from a jailhouse telephone.

9 On defendant’s motion to suppress, the trial court found that the
10 investigators’ use of a falsified Department of Justice report
violated due process. The court reasoned that, while the use of
11 deception may be permissible, purporting to show a suspect hard
evidence in the form of a falsified report was unacceptable. The
12 court initially ruled that the second interview, from the time the
report was shown to defendant, and all four telephone calls would
13 be excluded.

14 On the prosecution’s motion for reconsideration, the court adhered
to its conclusion that the use of the false report violated due
process. However, the court determined that the second portion of
the third telephone call and the entire fourth telephone call were
admissible. The court reasoned that during the first part of the
third telephone call, defendant was informed that Cline was not in
custody and at this point his whole demeanor changed. The court
found the conversations after that to be sufficiently attenuated from
the original violation.

19 Defendant contends this was error. We disagree for reasons that
follow.

20 Lies told by police officers to a suspect under interrogation are part
of the “totality of the circumstances” that may be considered in
determining whether an ensuing confession was voluntary. (People v. Farnam (2002) 28 Cal.4th 107, 182-183, 121 Cal.Rptr.2d 106,
47 P.3d 988.) But lies are not per se sufficient to make a
confession involuntary. (People v. Musselwhite (1998) 17 Cal.4th
1216, 1240, 74 Cal.Rptr.2d 212, 954 P.2d 475.) “Where the
deception is not of a type reasonably likely to procure an untrue
statement, a finding of involuntariness is unwarranted.” (People v. Farnam, supra, 28 Cal.4th at p. 182, 121 Cal.Rptr.2d 106, 47 P.3d 988.) Moreover, to support suppression “there must be a
proximate causal connection between the deception or subterfuge

1 and the confession. ‘A confession is “obtained” . . . if and only if
2 inducement and statement are linked, as it were, by “proximate”
3 causation The requisite causal connection between promise
[or deception] and confession must be more than “but for”:
causation-in-fact is insufficient.’’’ (People v. Musselwhite, supra,
17 Cal.4th at p. 1240, 74 Cal.Rptr.2d 212, 954 P.2d 475.)

4
5 Under this standard, we have serious doubt as to the validity of the
6 trial court's determination that any of defendant's extra-judicial
7 statements should be excluded. However, this is not an issue we
8 need resolve. Defendant's interview statements following the
9 presentation of the fabricated Department of Justice report, the first
10 two telephone calls, and the first part of the third telephone call
11 were excluded from evidence. We need consider only the
12 admission of the second portion of the third telephone call and the
13 fourth telephone call.

14
15 “Incriminating statements by a defendant are admissible despite
16 previous unlawful governmental conduct if they are ‘sufficiently an
17 act of free will to purge the primary taint’ [citation], or, phrased
18 differently, if the connection between the illegality and the
19 confession ‘had “become so attenuated as to dissipate the taint.”’’’’’’
20 (People v. Beardslee (1991) 53 Cal.3d 68, 108, 279 Cal.Rptr. 276,
21 806 P.2d 1311.) We are satisfied the evidence that was admitted
22 was so attenuated as to be purged of any taint. Numerous factors
support our conclusion:

23
24 (1) Upon being confronted with the false report, defendant did not
25 break down and confess. He continued to adhere to his story and
denied involvement. When a defendant is coerced into confessing,
then subsequent incriminating statements will generally, but not
invariably, be considered a product of the first confession. (People
v. Stroble (1951) 36 Cal.2d 615, 623, 226 P.2d 330.) This is not
such a case because here there was no initial overbearance of
defendant's will.

26
27 (2) The statements in the telephone calls were not made to the
investigators as the result of interrogation; rather, they were
voluntarily made to defendant's friends, Tracy and Danielle. Tracy
and Danielle were not government agents or informers, and they
were not cooperating with investigators to obtain incriminating
statements from defendant. (See People v. Catelli (1991) 227
Cal.App.3d 1434, 1443, 278 Cal.Rptr. 452.)

28
29 (3) During his first interview, defendant indicated full awareness
30 that jailhouse conversations are monitored and recorded, and
chided the investigator for suggesting that someone who had been
31 in the system would not know this. He indicated similar awareness
32 during the telephone calls.

33 ////

1 (4) During the telephone calls, defendant repeatedly indicated a
2 belief that the investigators were trying to trick him or scare him
3 into giving up information and said that the evidence they claimed
4 to have was doctored for this purpose.

5 (5) During the calls, defendant repeatedly indicated a belief that
6 the investigators lacked evidence with which to charge him.

7 (6) During the calls, defendant told Tracy and Danielle the version
8 of the events he had told the investigators, and repeatedly told them
9 to relay that version to Taylor and Cline.

10 (7) During the calls, defendant repeatedly told Tracy and Danielle
11 to reach Taylor and Cline and tell them not to talk to the
12 investigators. He added that, if the investigators attempted to talk
13 to them, they should demand a lawyer because that would prevent
14 interrogation.

15 (8) At the conclusion of last [sic] call, defendant said that he was
16 relieved and that they should be happy for him, but again urged
17 them to reach Taylor and Cline at once.

18 From those telephone conversations, it is apparent that defendant
19 did not believe the investigators and did not believe they had
20 sufficient evidence with which to charge him.⁶ Defendant believed
21 that if he, Taylor, and Cline refused to confess, then he would not
22 be charged. Although he knew the calls were monitored and
23 recorded, he believed it was essential to relay his version of the
24 events to Taylor and Cline, to tell them not to talk to the
25 investigators, and to advise them that insistence upon a lawyer
26 would preclude interrogation. This was not an instance in which
 defendant's will was overborne by unlawful interrogation practices.
 Rather, defendant voluntarily made statements to his friends,
 despite his awareness that the calls would be monitored, because
 he believed that getting a message to Taylor and Cline was
 essential to avoidance of responsibility for the crime. The court
 did not err in admitting those statements.

20 (Opinion at 16-22.)

21 ////

22 ⁶ During his third telephone call from the jail, defendant spoke first to his friend Tracy
23 and then to his friend Danielle. When he learned from Tracy that Cline had not been arrested,
24 defendant's demeanor changed. The court admitted the third call from the point at which
25 Danielle came on the line. In speaking to Danielle, defendant initially said "they're gonna charge
26 us with something, irregardless you know?" He said his goal would be to fight for involuntary
 manslaughter. But the news that Cline had not been arrested quickly changed his tone. It is
 apparent from this point that defendant believed he would not be charged unless he, Taylor, or
 Cline confessed.

1 2. Petitioner's Claim

2 Before this court petitioner again contends that the police used coercive
3 techniques during the interrogation on March 14, 2001, which led to his involuntary and
4 incriminating statements made to his friends. Petitioner specifically complains about the false
5 investigative report, references to the death penalty,⁷ the false assertion by police that Carla Cline
6 had confessed and implicated petitioner, and the detectives' initial refusal to honor his requests
7 for counsel. (Pet. at 16-20.) He contends that these coercive interrogation tactics "bore a direct
8 causal relationship" to the inculpatory telephone statements admitted into evidence against him
9 because he was "motivated to make the telephone calls and the statements in them by the
10 coercive interrogation tactics to which he was subjected." (Id. at 20.) Petitioner asserts that "in
11 their continued quest to obtain self-incriminatory statements from Mr. Sutherland, interrogators
12 put him into a cell with a telephone and taped all his telephone conversations for the ensuing
13 course of the day and evening." (Id. at 18.) Petitioner argues that the coercive police conduct

14 makes it clear that the interrogators intended to gather evidence in
15 the form of Mr. Sutherland's statements made to others in the wake
16 of the officers' misconduct. Nothing broke the causal chain.

16 Petitioner was continuously in custody. All four calls were made
17 from the same jail cell on the same day as the interrogation.

17 (Id. at 21.) Petitioner also contends that he was not relieved of the coercive effect of the
18 interrogation tactics by learning that Carla Cline was not in custody, because he didn't know why
19 she had been released. (Id.) Petitioner argues that the substance of the telephone calls reflect
20 that he was still under the influence of the officers' coercive tactics and that there was "no
21 attenuation or break in the causal chain." (Id. at 21-22.)

22 Citing the decision in Wong Sun v. United States, 371 U.S. 471 (1963), petitioner
23 argues, "there can be no reasonable dispute that the statements Mr. Sutherland made in the third
24 and fourth telephone conversations were obtained by exploiting the unlawful conduct of officers

25
26 ⁷ Interrogating Officer Hubbard acknowledged on direct examination at petitioner's trial
 that he had told petitioner he was facing the death penalty. (RT at 1149.)

1 during the interrogation.” (Pet. at 22.) Petitioner also asserts that he would not have made
2 incriminating comments over the telephone, knowing he was being recorded, unless he was in a
3 state of panic induced by the officers’ unlawful conduct. (Traverse at 6-7.) Petitioner
4 acknowledges that “he did not believe the officers” and “did not believe the officers had enough
5 evidence to charge him.” (Id. at 7.) However, he argues that the state court refused “to recognize
6 the impact on the psyche of an individual, sophisticated or not, when confronted with a falsified
7 official police (report).” (Id.) Finally, petitioner argues that his conviction should be reversed on
8 the grounds that outrageous governmental conduct violated his right to due process. (Pet. at 22-
9 23.)

10 In essence, petitioner is claiming that: (1) his telephone conversations with his
11 friends were essentially the equivalent of continued police interrogation because, by placing him
12 in a cell with a telephone, the police expected that petitioner would make incriminating
13 statements; (2) the inculpatory statements he made to his friends were coerced by the
14 immediately preceding improper interrogation tactics of police and were thus involuntary and
15 inadmissible; and (3) no intervening event attenuated the original illegality of the police
16 interrogation tactics so as to render his statements to his friends a voluntary act.

17 As noted above, the California Court of Appeal declined to resolve whether the
18 police engaged in coercive tactics. (Opinion at 19.) Instead, the state appellate court determined
19 that petitioner’s statements in the telephone calls were sufficiently removed from the taint of any
20 possible police coercion that they could properly be admitted into evidence at petitioner’s trial.
21 (Id. at 19-20.) Put another way, the state appellate court concluded that petitioner’s statements in
22 the second half of the third telephone call and in the fourth telephone call were “sufficiently an
23 act of free will to purge the primary taint” of any arguably unlawful interrogation tactics. Wong
24 Sun, 371 U.S. at 486.

25 ////

26 ////

1 3. Legal Standards

2 The Constitution demands that confessions be made voluntarily. See Lego v.
3 Twomey, 404 U.S. 477, 483-85 (1972). Involuntary confessions may not be used to convict
4 criminal defendants because they are inherently untrustworthy and because society shares “the
5 deep-rooted feeling that the police must obey the law while enforcing the law; that in the end life
6 and liberty can be as much endangered from illegal methods used to convict those thought to be
7 criminals as from the actual criminals themselves.” Spano v. New York, 360 U.S. 315, 320-21
8 (1959).⁸ The requirement that a confession be voluntary to be admitted into evidence is based on
9 the due process clause of the Fourteenth Amendment and the Fifth Amendment right against self-
10 incrimination. Dickerson v. United States, 530 U.S. 428, 433 (2000); Doody v. Schriro, 548 F.3d
11 847, 858 (9th Cir. 2008). The due process protection “stems from the principle that ‘tactics for
12 eliciting inculpatory statements must fall within the broad constitutional boundaries imposed by
13 the Fourteenth Amendment’s guarantee of fundamental fairness.’” Doody, 548 F.3d at 858
14 (quoting Miller v. Fenton, 474 U.S. 104, 110 (1985)).

15 A confession is voluntary only if it is “the product of a rational intellect and a free
16 will.” Townsend v. Sain, 372 U.S. 293, 307 (1963). See also Blackburn v. Alabama, 361 U.S.
17 199, 208 (1960). “The line of distinction is that at which governing self-direction is lost and
18 compulsion, of whatever nature or however infused, propels or helps to propel the confession.”
19 Collazo v. Estelle, 940 F.2d 411, 416 (9th Cir. 1991) (en banc) (quoting Culombe v. Connecticut,
20 367 U.S. 568, 602 (1961)). There is no “talismanic definition of ‘voluntariness’ that is
21 “mechanically applicable.” Clark v. Murphy, 331 F.3d 1062, 1072 (9th Cir. 2003) (quoting
22 Schneckloth v. Bustamonte, 412 U.S. 218, 224 (1973)). Rather, voluntariness is to be
23 determined in light of the totality of the circumstances. See Miller, 474 U.S. at 112; Haynes v.

24 _____
25 ⁸ Although petitioner’s incriminating statements were not a full confession, the analysis
26 of their voluntariness remains the same. United States v. Orso, 266 F.3d 1030, 1041 n.1 (9th Cir.
2001), abrogated on other grounds by Missouri v. Seibert, 542 U.S. 600 (2004).

1 Washington, 373 U.S. 503, 513 (1963); Doody, 548 F.3d at 858-59. This includes consideration
2 of both the characteristics of the petitioner and the details of the interrogation. Schneckloth, 412
3 U.S. at 226. In the end the court must determine under the totality of the circumstances whether
4 “the government obtained the statement by physical or psychological coercion or by improper
5 inducement so that the suspect’s will was overborne.” Beaty v. Stewart, 303 F.3d 975, 992 (9th
6 Cir. 2002) (quoting United States v. Leon Guerrero, 847 F.2d 1363, 1366 (9th Cir. 1988)). See
7 also Hutto v. Ross, 429 U.S. 28, 30 (1976); Haynes v. Washington, 373 U.S. 503, 513-14 (1963).
8 “[B]y its nature, the voluntariness inquiry requires a case-by-case assessment, leading courts to
9 grapple with the application of voluntariness principles in a variety of circumstances.” Doody,
10 548 F.3d at 859 (citing Schneckloth, 412 U.S. at 224).

11 False statements by the police may render a confession invalid. See, e.g., Lynumn
12 v. Illinois, 372 U.S. 528, 534 (1963) (confession found to be coerced by officers’ false statements
13 that state financial aid for defendant’s infant children would be cut off, and her children taken
14 from her, if she did not cooperate); Spano, 360 U.S. at 323 (confession found to be coerced
15 where police instructed a friend of the accused to falsely state that petitioner’s telephone call had
16 gotten him into trouble, that his job was in jeopardy and that loss of his job would be disastrous
17 to his three children, his wife and his unborn child). However, “misrepresentations made by law
18 enforcement in obtaining a statement, while reprehensible, does not necessarily constitute
19 coercive conduct.” Pollard v. Galaza, 290 F.3d 1030, 1034 (9th Cir. 2002). So long as a police
20 officer’s misrepresentations or omissions are not of a kind likely to produce a false confession,
21 confessions prompted by deception constitute admissible evidence. See, e.g., Frazier v. Cupp,
22 394 U.S. 731, 739 (1969) (confession admissible even though officer falsely told the suspect his
23 accomplice had been captured and confessed).

24 Various state courts have considered whether police conduct in manufacturing a
25 false document and showing it to the accused, as opposed to simply making a false oral
26 statement, constitutes coercive activity sufficient to require the exclusion at trial of any resulting

1 inculpatory statements.⁹ For instance, the Nevada Supreme Court has concluded that the
2 subterfuge engaged in by police in manufacturing a false lab report for use in interrogating the
3 defendant was not per se coercive but was simply one circumstance to be considered in
4 determining whether subsequent inculpatory statements made by the defendant were voluntary.
5 Sheriff, Washoe County v. Bessey, 914 P.2d 618, 620 (Nev. 1996). The court noted that the
6 report was not reasonably likely to produce a false confession because it “would not have
7 implicated any concerns on [defendant’s] part other than consideration of his own guilt or
8 innocence and the evidence against him.” Id. at 621. Likewise, in Lincoln v. State of Maryland,
9 882 A.2d 944, 956 (Md. App. 2005), the Maryland Court of Special Appeals held that the use of
10 a police-fabricated document as a ploy to deceive a defendant into thinking the state has evidence
11 of guilt, or greater knowledge than it actually has, is a relevant factor to be considered in deciding
12 whether, in the totality of the circumstances, the defendant’s confession was freely and
13 voluntarily made, but is not, in and of itself, dispositive of the issue. See also Arthur v. Com.,
14 480 S.E.2d 749, 752 (Va. App. 1997) (Virginia Court of Appeals holding that the presentation to
15 a defendant of fabricated fingerprint and DNA reports did not overcome defendant’s will or
16 critically impair his capacity for self-determination; therefore, his subsequent confession was
17 voluntary and admissible.). But see State v. Cayward, 552 So.2d 971, 974-75 (Fla. App. 2 Dist.
18 1989) (Florida Court of Appeal determining that the use of fabricated laboratory reports to secure

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20 || ////

21 || ////

⁹ In People v. Mays, 173 Cal App. 4th 1145 (2009), a panel of the California Court of Appeal for the Third Appellate District noted a lack of consensus among state courts on the issue, but concluded that police fabrication and use of tangible evidence (a mock polygraph test and fake test results) was not per se coercive, but was merely one factor to consider in determining whether the defendant's confession was voluntary. However, the decision in Mays was subsequently withdrawn from publication and a petition for review in that action is now pending before the California Supreme Court.

1 a confession was coercive per se and violated defendant's constitutional right to due process,
2 resulting in the suppression of defendant's confession.)¹⁰

3 When police obtain inculpatory evidence as an indirect result of improper
4 conduct, the defendant bears the initial burden of coming forward with a showing that such
5 evidence was tainted by – i.e., causally linked to – the primary illegality. Alderman v. United
6 States, 394 U.S. 165, 183 (1969); Nardone v. United States, 308 U.S. 338, 341 (1939); United
7 States v. Polizzi, 500 F.2d 856, 910 (9th Cir. 1974). The defendant must show more than that the
8 challenged evidence “would not have come to light but for the illegal actions of the police;”
9 rather, “the more apt question . . . is whether, granting establishment of the primary illegality, the
10 evidence to which instant objection if made has been come at by exploitation of that illegality or
11 instead by means sufficiently distinguishable to be purged of the primary taint.” Wong Sun, 371
12 U.S. at 487-88. See also Brown v. Mississippi 297 U.S. 278, 286 (1936) (suppressing both
13 statements made by the defendant after an illegal arrest, notwithstanding the giving of Miranda
14 warnings, where first statement was separated from the illegal arrest by less than two hours and
15 there was no intervening event of significance and second statement was the result and the fruit
16 of the first statement).

17 If the defendant makes a showing that the challenged evidence was causally
18 linked to the primary illegality, the ultimate burden then shifts to the prosecution to prove that the
19 statements are “sufficiently an act of free will to purge the primary taint.” Wong Sun, 371 U.S.
20 at 486. See also Alderman, 394 U.S. at 183; Nardone, 308 U.S. at 341. Factors to be considered
21 in this analysis are whether: “(1) there was a break in the stream of events sufficient to insulate
22 the statement from the effect of the prior coercion, (2) it can be inferred that the coercive
23 practices had a continuing effect that touched the subsequent statement, (3) the passage of time, a

24
25 ¹⁰ The record in the case before this court reflects that the state court trial judge relied on
26 the decision in Cayward to support his initial ruling excluding petitioner's statements made at the
police interrogation after he was shown the false lab report and all four telephone conversations.
(RT at 137.)

1 change in the location of the interrogation, or a change in the identity of the interrogators
 2 interrupted the effect of the coercion, and (4) the conditions that would have precluded the use of
 3 a first statement had been removed.” Collazo, 940 F.2d at 421 (defendant’s waiver of Miranda
 4 rights was the result of police coercion and his subsequent statement given after he initiated a
 5 second interrogation with officers was the result of the earlier coercion). See also Williams v.
 6 Woodford, 384 F.3d 567, 595 (9th Cir. 2004).¹¹

7 In the analogous situation where a suspect makes a statement to police that is
 8 coerced and then makes a subsequent confession to the police, the appropriate inquiry in
 9 determining the admissibility of the subsequent statements is whether the coercion surrounding
 10 the first statement had been sufficiently dissipated so as to render the second statement voluntary.
 11 Darwin v. Connecticut, 391 U.S. 346, 349 (1968); Leyra v. Denno, 347 U.S. 556, 561 (1954);
 12 United States v. Shi, 525 F.3d 709, 727 (9th Cir. 2008). In that context, to establish the
 13 admissibility of the evidence the government must show intervening circumstances indicating
 14 that the second confession was “insulate[d] ... from the effect of all that went before.” Clewis v.
 15 Texas, 386 U.S. 707, 710 (1967). See also Oregon v. Elstad, 470 U.S. 298, 310 (1985) (“when a
 16 prior statement is actually coerced, the time that passes between confessions, the change in place
 17 of interrogations, and the change in identity of the interrogators all bear on whether that coercion
 18 has carried over into the second confession”); United States v. Lopez, 437 F.3d 1059, 1066 (9th
 19 Cir. 2006) (a second confession found to be inadmissible where intervening circumstances failed
 20 to show that the second confession was insulated from the earlier coerced confession); Douglas
 21 v. Woodford, 316 F.3d 1079, 1092 (9th Cir. 2003) (confession on witness stand not tainted by
 22

23 ¹¹ The “primary illegality” in both Wong Sun and Brown was an illegal arrest. In
 24 Collazo, the primary illegality was an attempt by the police to coerce a Miranda waiver and a
 25 waiver of the privilege against self-incrimination. The court in Collazo found these differences
 26 “insignificant” insofar as they might affect the application of the holdings in Wong Sun and
Brown. Collazo, 940 F.2d at 421 n.9. Here, the primary illegality was the use of a false
 document and other coercive tactics in the course of an interrogation. As in Collazo, this court
 finds these factual differences do not preclude the use of the foregoing authority as precedent.

1 previous coerced confession in Mexico); Williams, 384 F.3d at 595 (witnesses' trial testimony
2 two years after illegal interrogation found to be sufficiently voluntary and not tainted).

3 4. Analysis

4 In this case, petitioner's challenged statements were not made to the interrogating
5 police officers, but to petitioner's friends in subsequent telephone calls. One question raised by
6 this set of circumstances is whether petitioner's conversations with his friends can be viewed as
7 simply a continuation of the prior police interrogation. While interrogation usually takes the
8 form of direct questioning, there are situations where interrogation can occur through indirect
9 means. The United States Supreme Court has held that "interrogation" consists of both express
10 questioning by the police and also "its functional equivalent." Rhode Island v. Innis, 446 U.S.
11 291, 301 (1980). The court defined the term "functional equivalent" as "any words or actions on
12 the part of the police (other than those normally attendant to arrest and custody) that the police
13 should know are reasonably likely to elicit an incriminating response from the suspect." Id. This
14 "functional equivalent" test "focuses primarily upon the perceptions of the suspect, rather than
15 the intent of the police;" – that is, whether the suspect would conclude that he was being subject
16 to a custodial police interrogation. Id.

17 Under certain circumstances, questioning by private third parties has been found
18 to bear the stamp of official authority and thus to constitute the functional equivalent of police
19 interrogation. See, e.g., Estelle v. Smith, 451 U.S. 454, 467 (1981) (questioning by
20 court-appointed competency psychiatrist found to implicate the defendant's Miranda rights);
21 Wilson v. O'Leary, 895 F.2d 378, 380-81 (7th Cir. 1990) (holding Miranda applicable where
22 sheriff detained defendant against his will in a vacant lot so husband of victim could interrogate
23 him). Instructive in this regard is the case of Arizona v. Mauro, 481 U.S. 520 (1987). There, the
24 defendant argued that he was subject to interrogation when the police allowed him to speak with
25 his wife in the presence of a police officer. Id. at 527. The Supreme Court concluded that
26 Mauro's conversation with his wife did not constitute interrogation because Mauro "was not

1 subjected to compelling influences, psychological ploys, or direct questioning,” and there was no
2 evidence that “the officers sent Mrs. Mauro in to see her husband for the purpose of eliciting
3 incriminating statements.” Id. at 528. Although the officers were aware that Mauro might
4 incriminate himself during the conversation with his wife, the Supreme Court concluded that
5 “[o]fficers do not interrogate a suspect simply by hoping that he will incriminate himself.” Id. at
6 529. See also Coolidge v. New Hampshire, 403 U.S. 443, 487-488 (1971) (defendant’s wife was
7 not acting as an instrument or agent of the police when she searched their house without a
8 warrant and gave police incriminating evidence; therefore “the conduct of the police officers at
9 the Coolidge house was [not] such as to make her actions their actions for purposes of the Fourth
10 and Fourteenth Amendments and their attendant exclusionary rules”); United States v.
11 Kimbrough, 477 F.3d 144, 151 (4th Cir. 2007) (holding that the police did not subject the
12 defendant to improper interrogation when they brought his mother to the basement to see drugs
13 stored there because there was “no evidence in the record of a tacit agreement, discussion, or
14 understanding between the police officers and defendant’s mother that she would ask questions
15 or attempt to elicit incriminating information.”); United States v. Gaddy, 894 F.2d 1307, 1311
16 (11th Cir. 1990) (suspect in custody was not interrogated when aunt, who was employed by the
17 police department, urged him to tell police what he knew about a crime); Snethen v. Nix, 885
18 F.2d 456, 457 (8th Cir. 1989) (suspect in custody was not interrogated when he confessed after a
19 conversation with his mother, who had told police before the conversation that “if [my son] did
20 this, he will tell me.”); Endress v. Dugger, 880 F.2d 1244, 1248-49 (9th Cir. 1989) (detective
21 who was close friend of defendant and visited him to inquire on his well-being rather than at
22 direction of officer connected with investigation did not subject defendant to “functional
23 equivalent of interrogation,” and thus, statements made by defendant to detective were not
24 obtained in violation of Miranda); Cobb v. Kernan, No. 2:04-cv-1299 JKS EFB, 2008 WL
25 110995, *3 (E.D. Cal. Jan. 9, 2008) (denying habeas relief where the challenged statement was
26 given to petitioner’s girlfriend who was allowed by police to speak with him at her request);

1 Hovey v. Calderon, No. C 89-1430 MHP, 1996 WL 400979, *29 (N.D. Cal. July 10, 1999)
2 (“Thus, if petitioner had made inculpatory statements to his father even in response to his father’s
3 questions, the statements would be admissible and not in violation of petitioner’s Fifth
4 Amendment rights unless his father was being used by the government for this purpose [] even if
5 the statements are known by petitioner to be monitored.”)

6 The present case does not present a situation on all fours with any of the cases
7 cited above. In none of the cases involving a defendant’s inculpatory statements to third parties
8 was there any evidence of the use of deceit by police as there is in this case. In addition, unlike
9 cases involving incriminating statements made by a defendant after police coercion, here
10 petitioner did not make his statements to the police but to his friends. Nonetheless, after an
11 analysis of the record, this court concludes that the state court’s decision that the limited
12 inculpatory statements admitted into evidence at petitioner’s trial were voluntarily made and
13 properly admitted into evidence is not contrary to or an unreasonable application of federal law
14 and should not be set aside.

15 The undersigned finds that the conduct of law enforcement in deliberately
16 manufacturing a false laboratory report, complete with forged signature, in an attempt to get a
17 confession from petitioner, was coercive. This tactic “shock[ed] the conscience” of the trial
18 judge, who determined that “it does offend basic notions of fairness.” (RT at 137, 426.) The
19 undersigned agrees with that assessment.¹² Nonetheless, deception by the police is only one
20 factor to consider among the totality of circumstances in determining the voluntariness of
21 petitioner’s statements. Frazier, 394 U.S. at 739; Holland v. McGinnis, 963 F.2d 1044, 1051 (7th
22 Cir. 1992). Some courts have opined that a lie by police that relates to a suspect’s connection to
23 the crime, as the one in this case did, is the least likely to render a confession involuntary because

24
25 ¹² In addition, the interrogating police detectives did not immediately honor petitioner’s
26 requests for counsel and petitioner was repeatedly threatened by the interrogators with the death
penalty. The trial court found that these three factors rendered the environment coercive. (RT at
428-29). The undersigned agrees.

1 it does not cause the suspect to consider anything beyond his connection to the crime and the
2 sufficiency of the evidence gathered by police. Holland, 963 F.2d at 1051; United States v.
3 Velasquez, 885 F.2d 1076, 1088-89 & n.11 (3d Cir. 1989). The subterfuge in this case did not
4 rise to the level of the lies utilized by the police in Lynumn v. Illinois, 372 U.S. at 534 (false
5 statements that state financial aid for the defendant's infant children would be cut off, and her
6 children taken from her, if she did not cooperate) or Spano v. New York, 360 U.S. at 323 (police
7 instructed a friend of the accused to falsely state that petitioner's telephone call had gotten him
8 into trouble, that his job was in jeopardy and that loss of his job would be disastrous to his three
9 children, his wife and his unborn child), which ran the risk of causing an innocent person to
10 confess. Rather, here the falsehood by the police involved the weight of the evidence gathered
11 which pointed to petitioner's involvement in the murder. The court also notes that the conduct of
12 the police in this case was not so coercive that it caused petitioner to admit his involvement at
13 once. Even after the police showed petitioner the fabricated laboratory results, he continued to
14 deny involvement in the murder of Sparks. It was not until he later spoke to his friends that he
15 made incriminating remarks. This fact suggests that petitioner's will was not overborne by the
16 police ruse.

17 In addition, petitioner was given adequate Miranda warnings at his initial
18 interrogation. Although this fact is not determinative on the question of voluntariness, it is one
19 factor to consider in the analysis. See Withrow v. Williams, 507 U.S. 680, 693-94 (1993);
20 Doody, 548 F.3d at 860-61 (whether a defendant was given Miranda warnings is one
21 circumstance to consider in determining whether a confession is voluntary but is not
22 determinative on that question). But see Missouri v. Seibert, 542 U.S. 600, 608-09 (2004)
23 (giving Miranda warnings "has generally produced a virtual ticket of admissibility . . . and
24 litigation over voluntariness tends to end with the finding of a valid waiver"); Berkemer v.
25 McCarty, 468 U.S. 420, 433 n.20 (1984) ("[C]ases in which a defendant can make a colorable
26 ////

1 argument that a self incriminating statement was ‘compelled’ despite the fact that the law
2 enforcement authorities adhered to the dictates of Miranda are rare”).

3 Furthermore, the incriminating statements in question were made by petitioner to
4 his friends and not to the police. It appears that petitioner called his friends in an attempt to
5 orchestrate matters so that his involvement in the crime would either go undetected or would
6 result in a lesser charge than murder. There is no allegation that the police forced petitioner to
7 make these phone calls or suggested in any way that he do so. Although petitioner suspected his
8 calls were being recorded, there is no evidence that he believed the phone calls constituted a
9 continued interrogation. Rather, petitioner voluntarily made incriminating statements, knowing
10 the danger in doing so, because he apparently wished to control the actions of his friends and
11 believed that outweighed the danger involved in making the calls. Even if the detectives placed
12 petitioner in a cell with a telephone in the hope that he would use the telephone to make
13 incriminating statements, this fact would not convert the conversations into the “functional
14 equivalent” of continuing police interrogation. Innis, 446 U.S. at 301. During the calls
15 themselves, petitioner was not subjected to “compelling influences” of any kind, “psychological
16 ploys,” or direct questioning from police. Id. at 529. The police were not even present. There is
17 no evidence or suggestion that petitioner’s friends were acting on behalf of the police, nor was
18 petitioner subject to any “interrogation” by his friends. Accordingly, this court concludes that
19 petitioner’s telephone calls to his friends were not part of a continuing police interrogation.

20 The California Court of Appeal found that the telephone calls were “sufficiently
21 an act of free will to purge the primary taint” of the police interrogation, largely because they
22 were made to petitioner’s friends, and not the police, and because petitioner was aware he was
23 being monitored but made the calls anyway because he wished to control the investigation.
24 (Opinion at 19-22.) The appellate court also concluded that petitioner’s will was not overborne
25 by the police subterfuge because he did not immediately “break down and confess” and because
26 he expressed awareness that the police were trying to trick or scare him. (Opinion at 19-20.) The

1 California Court of Appeal determined that petitioner's tone and demeanor changed during the
2 third telephone call to his friends after he was informed that Cline had not been arrested and that
3 after this point his statements were not the result of prior police coercion. (Id. at 21 n.2.)
4 Similarly, the state trial court concluded that once petitioner was informed Carla Cline was not in
5 custody and became aware that the police had not been truthful with him, he voluntarily chose to
6 continue speaking with his friends and his subsequent inculpatory statements were therefore
7 admissible. (RT at 254.) The decision of the state courts that the circumstances had changed
8 sufficiently by the second portion of petitioner's third telephone conversation with his friends so
9 as to dissipate any taint resulting from the prior police coercion is not an unreasonable
10 determination of the facts in this case. By the time petitioner made the incriminating statements
11 that were admitted into evidence at his trial, there had been a significant break in time from the
12 police interrogation; petitioner had been made aware that the police had lied to him, thereby
13 lessening the impact of the fraudulent lab report and other coercive tactics; the persons to whom
14 petitioner was speaking were not connected with law enforcement in any way; the location had
15 changed; and the police officers had been removed from the situation. All of these factors
16 support the state courts' finding that petitioner's inculpatory statements to his friends, starting
17 from the second portion of the third telephone call, were voluntarily made.

18 This court concludes that by the time petitioner made these statements, the only
19 inculpatory statements admitted into evidence at his trial, the improper police conduct had been
20 sufficiently attenuated and that therefore the statements were properly admitted into evidence.
21 The ruling of the trial court in suppressing petitioner's statements until such time as the police
22 tactics had been exposed and largely neutralized ensured that the statements actually admitted
23 into evidence were voluntary. Regardless of whether the interrogating officers' statements were
24 false, misleading, or intended to trick and cajole petitioner into confessing, this court finds that
25 under the totality of the circumstances petitioner's will was not overborne by the conduct of
26 ////

1 police with respect to the statements admitted into evidence against him. Accordingly, petitioner
2 is not entitled to relief on this claim.

3 5. Outrageous Government Conduct

4 Petitioner next argues that the police conduct in this case was so outrageous as to
5 constitute a substantive due process violation mandating the automatic reversal of his conviction.
6 In this regard, petitioner alleges that the police officers violated his right to due process when
7 they repeatedly lied to him about the evidence implicating him, manufactured the fake lab report,
8 ignored his requests to consult with an attorney, and then monitored his telephone conversations.
9 (Pet. at 22.) Petitioner notes that the state trial court determined the officers' conduct constituted
10 a "due process violation." (RT at 137, 426.)

11 This court rejects petitioner's claim that the police actions in this case were so
12 outrageous that he is entitled to habeas relief on this basis alone. The defense of outrageous
13 government conduct is limited to extreme cases in which the government's conduct violates
14 fundamental fairness and is "shocking to the universal sense of justice mandated by the Due
15 Process Clause of the Fifth Amendment." United States v. Russell, 411 U.S. 423, 432 (1973)
16 (quotations omitted). See also Rochin v. California, 342 U.S. 165 (1952) (use at trial of
17 morphine capsules physically extracted from defendant's body by state action violated Due
18 Process Clause of Fourteenth Amendment and resulted in dismissal of case). The Court of
19 Appeals for the Ninth Circuit has found outrageous government conduct in instances where the
20 government has "engineer[ed] and direct[ed] the criminal enterprise from start to finish," United
21 States v. Smith, 924 F.2d 889, 897 (9th Cir. 1991), and in "that slim category of cases in which
22 the police have been brutal, employing physical or psychological coercion against the defendant."
23 United States v. Bogart, 783 F.2d 1428, 1435 (9th Cir. 1986) (citation omitted), vacated in part
24 on other grounds sub nom. United States v. Wingender, 790 F.2d 802 (9th Cir. 1986). To prevail
25 on such a claim, "[i]n short, a defendant must meet an extremely high standard." Smith, 924
26 F.2d at 897.

Even assuming the “due process” defense is clearly established federal law, the California Court of Appeal reasonably concluded that the behavior of the police in this case did not fall within the narrow class of cases contemplated by Russell. The conduct engaged in by police here, while improper, cannot be said to be so grossly shocking or outrageous as to violate “the universal sense of justice.” Accordingly, petitioner is not entitled to relief on this claim.

C. Jury Instruction Error

Petitioner also raises several claims for habeas relief involving jury instruction error. After setting forth the applicable legal principles, the court will analyze these claims in turn below.

1. Legal Standards

A challenge to jury instructions does not generally state a federal constitutional claim. See Middleton v. Cupp, 768 F.2d at 1085 (citing Engle, 456 U.S. at 119); Gutierrez v. Griggs, 695 F.2d 1195, 1197 (9th Cir. 1983). Habeas corpus is unavailable for alleged error in the interpretation or application of state law. Middleton, 768 F.2d at 1085; see also Lincoln v. Sunn, 807 F.2d 805, 814 (9th Cir. 1987); Givens v. Housewright, 786 F.2d 1378, 1381 (9th Cir. 1986). However, a “claim of error based upon a right not specifically guaranteed by the Constitution may nonetheless form a ground for federal habeas corpus relief where its impact so infects the entire trial that the resulting conviction violates the defendant’s right to due process.” Hines v. Enomoto, 658 F.2d 667, 672 (9th Cir. 1981) (citing Quigg v. Crist, 616 F.2d 1107 (9th Cir. 1980)). See also Prantil v. California, 843 F.2d 314, 317 (9th Cir. 1988) (To prevail on such a claim petitioner must demonstrate that an erroneous instruction “so infected the entire trial that the resulting conviction violates due process.”) The analysis for determining whether a trial is “so infected with unfairness” as to rise to the level of a due process violation is similar to the analysis used in determining, under Brecht, 507 U.S. at 623, whether an error had “a substantial and injurious effect” on the outcome. See McKinney v. Rees, 993 F.2d 1378, 1385 (9th Cir. 1993).

1 In order to warrant federal habeas relief, a challenged jury instruction “cannot be
2 merely ‘undesirable, erroneous, or even “universally condemned,”’ but must violate some due
3 process right guaranteed by the fourteenth amendment.” Prantil, 843 F.2d at 317 (quoting Cupp
4 v. Naughten, 414 U.S. 141, 146 (1973)). In making its determination, this court must evaluate
5 the challenged jury instructions “in the context of the overall charge to the jury as a component
6 of the entire trial process.” Prantil, 843 F.2d at 817 (quoting Bashor v. Risley, 730 F.2d 1228,
7 1239 (9th Cir. 1984)). The United States Supreme Court has cautioned that “not every
8 ambiguity, inconsistency, or deficiency in a jury instruction rises to the level of a due process
9 violation.” Middleton v. McNeil, 541 U.S. 433, 437 (2004). Further, in reviewing an allegedly
10 ambiguous instruction, the court “must inquire ‘whether there is a reasonable likelihood that the
11 jury has applied the challenged instruction in a way’ that violates the Constitution.” Estelle, 502
12 U.S. at 72 (quoting Boyd v. California, 494 U.S. 370, 380 (1990)). See also United States v.
13 Smith, 520 F.3d 1097, 1102 (9th Cir. 2008).

14 2. Instruction on Adoptive Admissions

15 Petitioner claims that his right to due process and the privilege against self-
16 incrimination were violated when the trial court gave a jury instruction on adoptive admissions.
17 The California Court of Appeal explained the background to this claim and its ruling thereon as
18 follows:

19 In his next attack on the judgment, defendant contends that “the
adoptive admissions instruction should not have been given.”

20 The trial court instructed the jury consistent with CALJIC No. 2.71
21 as follows: “An admission is a statement made by the defendant
22 which does not by itself acknowledge the guilt of the crime for
23 which the defendant is on trial, but which statement tends to prove
his guilt when considered with the rest of the evidence. [¶] You
24 are the exclusive judges as to whether the defendant made an
admission and, if so, whether that statement is true in whole or in
part. You may consider the circumstances under which any
admissions were made.”

25 Over defense objection, the court also instructed consistent with
26 CALJIC No. 2.71.5, as follows: “If you should find from the

1 evidence that there was an occasion when the defendant under
2 conditions which reasonably afforded him an opportunity to reply,
3 failed to make a denial or made false, evasive or contradictory
4 statements in the face of an accusation expressly directed to him or
5 in his presence charging him with the crime for which this
6 defendant now is on trial or tending to connect him with its
7 commission and that he heard the accusation and understood its
8 nature, then the circumstances—circumstance of his silence and
9 conduct on that occasion may be considered against him as
10 indicating an admission that the accusation thus made was true. [¶]
11 Evidence of an accusatory statement is not received for the purpose
12 of proving its truth, but only as it supplies meaning to the silence
13 and conduct of the accused in the face of it. Unless you find that
14 the defendant's silence and conduct at the time indicated an
15 admission that the accusatory statement was true, you must entirely
16 disregard the statement.”

17 Defendant objected to the adoptive admission instruction, claiming
18 that it lacked evidentiary support. In response, the prosecutor
19 pointed to Raible's testimony. Raible said she saw defendant and
20 Taylor at Griffith's house the morning after they had left to get
21 Sparks out of her house. When she saw defendant, she thanked
22 him for getting rid of Sparks. Defendant responded “[k]ind of with
23 like – kind of like with that gesture of like, kind of silent, but with
24 a gesture of, yeah, okay.”

25 The prosecutor also suggested that an adoptive admission occurred
26 during defendant's second interview, when he was shown the
Department of Justice form “[a]nd at first he just stared and his
head went up and down in an affirmative motion.”

Evidence Code section 1221 provides: “Evidence of a statement offered against a party is not made inadmissible by the hearsay rule if the statement is one of which the party, with knowledge of the content thereof, has by words or other conduct manifested his adoption or his belief in its truth.” In order to find an adoptive admission, the jury is required to make certain preliminary findings, i.e., whether the defendant heard and understood the statement of another; whether the circumstances afforded him an opportunity to reply; and whether, under the circumstances, his statements or conduct manifested an adoption of the truth of the statement. And where evidence is introduced that may constitute an adoptive admission, the court has a duty to give sua sponte an instruction, such as CALJIC No. 2.71.5, to explain the requisites of an adoptive admission. (People v. Smith (1986) 187 Cal.App.3d 666, 679-680, 231 Cal.Rptr. 897, disapproved on another ground in People v. Bacigalupo (1991) 1 Cal.4th 103, 126, fn. 4, 2 Cal.Rptr.2d 335, 820 P.2d 559; People v. Vindiola (1979) 96 Cal.App.3d 370, 382, 158 Cal.Rptr. 6.)

27 //

Defendant claims that Raible's statement to defendant did not accuse him of committing a crime and, thus, cannot constitute the basis for an adoptive admission. However, to be the basis for an adoptive admission, a statement need not specifically accuse the defendant of a crime; it is sufficient that it tends to connect the defendant with wrongdoing. (People v. Fauber (1992) 2 Cal.4th 792, 852, 9 Cal.Rptr.2d 24, 831 P.2d 249; People v. Moore (1963) 211 Cal.App.2d 585, 597, 27 Cal.Rptr. 526.) The evidence established that defendant, Taylor, and Cline left Griffith's house for the purpose of going to Raible's to get Sparks out of there. That night, Sparks was killed at Raible's house. Raible's statement the next morning thanking defendant for getting rid of Sparks, and defendant's reaction thereto, constituted a sufficient evidentiary basis for a properly instructed jury to determine whether defendant made an adoptive admission. (People v. Edelbacher (1989) 47 Cal.3d 983, 1011, 254 Cal.Rptr. 586, 766 P.2d 1; People v. Pitts (1990) 223 Cal.App.3d 606, 850, 273 Cal.Rptr. 757.) The trial court did not err in instructing on adoptive admissions in this respect.

However, we agree with defendant that his reaction to the Department of Justice report cannot constitute an adoptive admission. We do so for the fundamental reason that the report was falsified. The prosecutor stipulated that the report was fabricated by the investigators. Defendant was permitted to present the testimony of the investigator who created the report. He said: "It is a prop. A fake Department of Justice physical evidence examination report that I generated. I made." Obviously, a defendant cannot be held to have adopted the truth of a report that everyone, including the jury, knows was fabricated.

However, defendant did not request that the instruction be limited, the prosecutor did not argue that defendant's reaction to the Department of Justice report constituted an adoptive admission, and we can perceive no prejudice from the instruction in this respect. It informed the jury that, in appropriate circumstances, a defendant's reaction to a statement may be taken as an adoption of the truth of the statement. There is no reasonable likelihood the jury would apply the instruction where, as here, it is conceded that the initial statement was fabricated.

(Opinion at 22-28.)

a. Petitioner's Reaction to the Fabricated Lab Report

Petitioner first argues that the trial court improperly gave a jury instruction on adoptive admissions because the instruction improperly permitted the jurors to infer guilt from petitioner's silence when he was shown the forged Department of Justice evidence report. (Pet.

at 24.) Petitioner also argues that the instruction allowed the prosecutor to improperly capitalize on the police conduct “previously found to have violated Due Process and shocked the court’s conscience.” (*Id.*)¹³

Petitioner cites the decision in Franklin v. Duncan, 884 F. Supp. 1435 (N.D. Cal. 1995), affd. 70 F.3d 75, 78 (9th Cir. 1995) in support of his claim. In Franklin, the defendant invoked his right to silence when questioned by his daughter at the police station. The trial court gave a jury instruction on adoptive admissions, and the prosecutor argued to the jury at length that petitioner's silence when faced with his daughter's accusations was an admission of guilt. The Ninth Circuit concluded that the jury instruction and closing argument violated petitioner's Fifth Amendment right to remain silent. Here, in contrast, the prosecutor in his argument to the jury did not equate petitioner's silence in the face of the false lab report with an admission of any kind. In light of this difference, the decision in Franklin does not dictate the result here.

This court agrees with the state appellate court that the giving of an instruction on adoptive admissions was harmless under the circumstances of this case. The jury was not told that the instruction applied to petitioner's silence in the face of the fabricated lab report and, as noted by the state court, there is no reasonable likelihood the jury would apply the instruction to the petitioner's reaction when confronted with the fabricated lab report where it was conceded that the report was false. Accordingly, petitioner is not entitled to relief on this claim.

b. Petitioner's Response to Raible's Statement

Petitioner also argues that the trial court erred in giving the adoptive admissions jury instruction with respect to “petitioner’s shrug in response to Raible’s expression of thanks.” (Pet. at 26.) He notes that, under California law, “an adoptive admission requires an adoption of

¹³ Petitioner argues that, contrary to the finding of the California Court of Appeal, the prosecutor did argue to the jury that his reaction to the Department of Justice report constituted an adoptive admission. (*Id.*) However, the record reflects that the prosecutor made this argument to the trial judge during the jury instruction conference and not to the jury. (RT at 1183.)

1 a statement as true," and argues that "a shrug in response to Raible's expression of thanks is [sic]
2 cannot reasonably be viewed as an adoption or admission of anything." (Id. at 26-27.)

3 This court concludes that the giving of the challenged jury instruction did not
4 render petitioner's trial fundamentally unfair, even though petitioner may have responded to
5 Raible's statements with a shrug as opposed to an affirmative statement. California Evidence
6 Code § 1221 provides that "[e]vidence of a statement offered against a party is not made
7 inadmissible by the hearsay rule if the statement is one of which the party, with knowledge of the
8 content thereof, has by words or other conduct manifested his adoption or his belief in its truth."
9 (emphasis added.) Raible testified that petitioner responded to her expression of thanks for
10 "getting rid" of Sparks with a "gesture" that appeared to mean "yeah, okay." (RT at 553.) Under
11 these circumstances, it is reasonable for the jury to conclude that petitioner's gesture was an
12 acknowledgment and an admission that he had, in fact, gotten rid of Sparks in some manner. The
13 giving of the adoptive admission instruction under these circumstances did not violate
14 petitioner's rights under state law or the federal constitution. Accordingly, petitioner is not
15 entitled to habeas relief with respect to this claim.

16 3. Jury Instruction on Voluntary Manslaughter

17 Petitioner's next claim is that the trial court's refusal to give a jury instruction on
18 the lesser offense of voluntary manslaughter violated his right to due process. (Pet. at 27-29.)
19 The California Court of Appeal rejected this argument, reasoning as follows:

20 Defendant contends the trial court erred in refusing to instruct on
21 voluntary manslaughter as a lesser included offense in the charge
of murder. We are not persuaded.

22 A trial court must instruct on a lesser included offense when there
23 is substantial evidence that the lesser offense, but not the greater,
24 was committed. (People v. Hagen (1998) 19 Cal.4th 652, 672, 80
25 Cal.Rptr.2d 24, 967 P.2d 563; People v. Birks (1998) 19 Cal.4th
108, 118, 77 Cal.Rptr.2d 848, 960 P.2d 1073.) This means that to
require instructions on a lesser included offense, there must be
evidence which is substantial enough to merit consideration by the
jury. (People v. Hagen, supra, 19 Cal.4th at p. 672, 80 Cal.Rptr.2d

1 24, 967 P.2d 563; People v. Birks, supra, 19 Cal.4th at p. 118, 77
2 Cal.Rptr.2d 848, 960 P.2d 1073.)

3 Murder is committed when one person kills another with malice
4 aforethought. (Pen.Code, §§ 187, 188.) The malice that is an
5 element of murder is express when the killer manifests a deliberate
6 intent to kill unlawfully; it is implied when the killer acts with a
7 conscious disregard for life and with knowledge that his conduct
8 endangers the life of another. (Pen.Code, § 188; People v.
9 Dellinger (1989) 49 Cal.3d 1212, 1215, 264 Cal.Rptr. 841, 783
10 P.2d 200.) A person who kills in circumstances that would
11 otherwise support a conviction for murder nevertheless acts
12 without malice, and thus is guilty of voluntary manslaughter only,
13 when he kills in a sudden quarrel or heat of passion or does so in
14 the unreasonable but good faith belief that he must act in
15 self-defense. (People v. Rios (2000) 23 Cal.4th 450, 460, 97
16 Cal.Rptr.2d 512, 2 P.3d 1066; People v. Lasko (2000) 23 Cal.4th
17 101, 104, 96 Cal.Rptr.2d 441, 999 P.2d 666; People v. Blakeley
18 (2000) 23 Cal.4th 82, 85, 96 Cal.Rptr.2d 451, 999 P.2d 675.)

19 To negate malice through heat of passion, it must appear that there
20 was adequate provocation by the victim, i.e., the victim engaged in
21 provocative conduct that was sufficient to cause an ordinary person
22 of average disposition to act rashly or without due deliberation and
23 reflection. (People v. Lee (1999) 20 Cal.4th 47, 59, 82 Cal.Rptr.2d
24 625, 971 P.2d 1001; People v. Ogen (1985) 168 Cal.App.3d 611,
25 621-622, 215 Cal.Rptr. 16.) It also must be shown that the
26 defendant in fact acted in a heat of passion and before the passions
27 of an ordinarily reasonable person would have cooled. (People v.
28 Daniels (1991) 52 Cal.3d 815, 868, 277 Cal.Rptr. 122, 802 P.2d
29 906.)

30 To negate malice through so-called imperfect self-defense, it must
31 appear the defendant killed in an actual but unreasonable belief that
32 doing so was necessary to protect himself against imminent peril to
33 life or great bodily injury. (In re Christian S. (1994) 7 Cal.4th 768,
34 773, 30 Cal.Rptr.2d 33, 872 P.2d 574.) The difference between
35 self-defense, which entirely excuses a killing, and imperfect
36 self-defense, which negates malice but does not otherwise excuse a
37 killing, is the objective reasonableness of the defendant's belief in
38 the need for self-defense. (People v. Flannel (1979) 25 Cal.3d 668,
39 674-675, 160 Cal.Rptr. 84, 603 P.2d 1.)

40 To have relevance in a homicide case, the elements of heat of
41 passion and/or imperfect self-defense must be affirmatively
42 demonstrated. (People v. Jackson (1980) 28 Cal.3d 264, 305, 168
43 Cal.Rptr. 603, 618 P.2d 149, disapproved on another ground in
44 People v. Cromer (2001) 24 Cal.4th 889, 901, fn. 3, 103
45 Cal.Rptr.2d 23, 15 P.3d 243.) In some cases, the prosecution's own
46 evidence may be sufficient to suggest that the killing was provoked
47 or was in an honest response to a perceived danger. (People v.

Rios, supra, 23 Cal.4th at p. 461, 97 Cal.Rptr.2d 512, 2 P.3d 1066.) But if the prosecution's evidence does not raise these issues, it is the defendant's burden to make some showing sufficient to raise a reasonable doubt. (Id. at pp. 461-462, 97 Cal.Rptr.2d 512, 2 P.3d 1066.) Instructions on heat of passion and/or imperfect self-defense are required only where there is affirmative evidence to support them. (People v. Pride (1992) 3 Cal.4th 195, 250, 10 Cal.Rptr.2d 636, 833 P.2d 643.) Speculation is insufficient. (Ibid.)

Here, we find no evidence in the record that would support a claim that defendant killed the victim in a heat of passion upon adequate provocation or acted in an actual belief that he needed to defend himself against imminent death or great bodily injury.¹⁴ The record includes statements from the three participants in the killing through Cline's testimony, Taylor's statements to Burbank, and defendant's interviews with the investigators. None of these statements suggest provocative or aggressive conduct by the victim before the killing.

The medical evidence showed the victim suffered more than a dozen blows to the face that were probably inflicted by fists, and a blunt-instrument blow to each side of the head sufficient to punch holes in his skull. Defendant claims that this evidence is consistent with a rapid explosion of violence. The medical evidence is, of course, entirely consistent with Cline's testimony about a violent, unprovoked, and rapid assault upon the unprepared victim by defendant and Taylor. It also could be consistent with an explosion of rage. But, without more, the medical evidence does nothing to suggest the killing resulted from adequate provocation by the victim or in defense against the victim's assaultive behavior.

Defendant argues that Lieutenant Compomizzo, who investigated the scene at Raible's house, "was surprised at how little blood he found in the house, because he found substantial evidence of a struggle." In fact, Compomizzo testified that he found substantial quantities of the victim's blood associated with the bed in the master bedroom. He did not find blood from the victim anywhere else in the house. Compomizzo did not testify that he found evidence of a struggle and he did not express surprise that the victim's blood was restricted to the master bedroom.

¹⁴ As a matter of law, the fact that the victim had once been convicted of child molestation does not support instructions on involuntary manslaughter. (People v. Fenenbock (1996) 46 Cal. App. 4th 1688, 1705, 54 Cal. Rptr. 2d 608.) And, although there is no evidence in the record that the victim had an opportunity to attempt to defend himself, efforts of a victim to defend himself against an aggressor cannot constitute either adequate provocation or the basis for an actual belief in the need for self-defense. (In re Christian S., *supra*, 7 Cal. 4th at p. 773, fn. 1, 30 Cal. Rptr. 2d 33, 872 P.2d 574; People v. Hoover (1930) 107 Cal. App. 635, 639, 290 P. 493.)

1 Compomizzo did describe one evidentiary anomaly in the house.
2 He saw what appeared to be fine mist blood splatters on a bi-fold
3 door and rug in the children's bedroom. While he did not think the
4 blood could have been present on the door for years, it could have
5 been there for months. He sent the door for blood analysis, which
6 showed it was not the victim's blood. He saw nothing to indicate
7 that the blood on the bi-fold door was connected to the homicide in
8 the master bedroom and described the matter as odd because it
9 indicated another incident of blood splatter in the house.

10 There is nothing in the record, other than pure speculation, that
11 would tend to connect the blood on the bi-fold door with the
12 homicide in the master bedroom. Moreover, even if we assume
13 they are somehow related, there is nothing from that evidence,
14 standing alone, that would suggest adequate provocation by the
15 victim or conduct which might have led defendant to believe in the
16 need for self-defense.

17 On this record, defendant's suggestion that the killing might have
18 occurred on a sudden quarrel is purely speculative, and the failure
19 to instruct on voluntary manslaughter was not error. (People v.
20 Pride, supra, 3 Cal.4th at p. 250, 10 Cal.Rptr.2d 636, 833 P.2d
21 643.)

22 (Opinion at 27-31.)

23 The United States Supreme Court has held that the failure to instruct on a lesser
24 included offense in a capital case is constitutional error if there was evidence to support the
25 instruction. See Beck v. Alabama, 447 U.S. 625, 638 (1980). The Supreme Court has not,
26 however, decided whether this rationale also extends to non-capital cases. See Turner v.
27 Marshall, 63 F.3d 807, 818 (9th Cir. 1995), overruled on other grounds by Tolbert v. Page, 182
28 F.3d 677 (9th Cir. 1999). The Ninth Circuit, like several other federal circuits, has declined to
29 extend Beck to find constitutional error arising from the failure to instruct on a lesser included
30 offense in a non-capital case. See Solis v. Garcia, 219 F.3d 922, 929 (9th Cir. 2000); Bashor v.
31 Risley, 730 F.2d 1228, 1240 (9th Cir. 1984); see also Valles v. Lynaugh, 835 F.2d 126, 127 (5th
32 Cir. 1988); Trujillo v. Sullivan, 815 F.2d 597, 602 (10th Cir. 1987); Perry v. Smith, 810 F.2d
33 1078, 1080 (11th Cir. 1987).

34 Because petitioner's case was not a capital case, the state trial court's failure to
35 give a lesser included offense instruction does not rise to the level of a constitutional error for

1 which federal habeas relief is available. See Turner, 63 F.3d at 819; Windham v. Merkle, 163
2 F.3d 1092, 1106 (9th Cir. 1998) (“[T]he failure of a state trial court to instruct on lesser included
3 offenses in a non-capital case does not present a federal constitutional question.”). To find a
4 constitutional right to a lesser-included offense instruction in this non-capital case would require
5 the application of a new rule of law, which the court may not do in a habeas proceeding. Teague
6 v. Lane, 489 U.S. 28 (1989); Solis, 219 F.3d at 929 (habeas relief for failure to instruct on lesser
7 included offense in non-capital case barred by Teague because it would require the application of
8 a new constitutional rule); Turner, 63 F.3d at 819 (same). Under these circumstances, the
9 decision of the California courts rejecting petitioner’s argument in this regard was not contrary to
10 clearly established federal law. Accordingly, petitioner is not entitled to relief.

11 4. Jury Instruction on Death Penalty

12 Petitioner claims that the trial court erred in informing the jurors during voir dire
13 that the prosecutor was not seeking the death penalty. The California Court of Appeal rejected
14 this argument, reasoning as follows:

15 The information charged, as a special circumstance, that the
16 murder was committed while defendant was engaged in the
17 commission of a residential burglary. (Pen.Code, § 190.2, subd.
18 (a)(17)(G).) However, the prosecution did not intend to seek the
19 death penalty. Before jury selection, the parties discussed whether
20 prospective jurors should be told this was not a death penalty case.
The trial court determined that, in the absence of authority one way
or the other, it would not so advise the jury unless asked. The
court said that, if asked, it would tell the jurors that they would
have no determination of penalty and that this was not a death
penalty case.

21 The scenario described by the court occurred during voir dire.
22 When the prosecutor asked whether there was anything that had
23 not been discussed that would make anyone uncomfortable in
sitting on the jury, juror No. 44817 said there would be an issue if
it was a death penalty case. The prospective juror expressed
opposition to the death penalty and a reluctance to serve if that
were the case. After a bench conference, the court said: “And my
response, JUROR NO. 44817, to your question is as follows. This
is not a case which can result in imposition of a death penalty.
Other than that, I must remind you that punishment or penalty must
not influence or affect in any way the jury’s determination on guilt

1 or innocence. Jurors are not to consider the issue of punishment or
2 penalty. It must not enter into juror deliberations at all.” After the
3 presentation of evidence and in closing instructions, the court again
4 instructed the jury that punishment was to have no role in
5 deliberations.

6 Defendant contends the court committed prejudicial error by telling
7 the jury this was not a death penalty case. The contention is
8 frivolous.

9 The purpose of voir dire is to ensure that jurors who serve will be
10 able to fairly and impartially follow the trial court's instructions
11 and evaluate the evidence. (People v. Earp (1999) 20 Cal.4th 826,
12 852, 85 Cal.Rptr.2d 857, 978 P.2d 15.) A prospective juror who
13 states there is a significant likelihood that extraneous matters will
14 enter into the decision making process should not be allowed to
15 serve. (People v. Hecker (1990) 219 Cal.App.3d 1238, 1245, 268
16 Cal.Rptr. 884.) In order to identify such individuals, trial courts
17 are accorded great latitude in conducting voir dire. (People v.
Earp, supra, 20 Cal.4th at p. 852, 85 Cal.Rptr.2d 857, 978 P.2d 15.)

18 Capital punishment is a sensitive and sometimes contentious issue.
19 It is accepted that the views some people hold on capital
20 punishment would prevent or substantially impair their
21 performance of the duties of a juror. (People v. Champion (1995)
22 9 Cal.4th 879, 908, 39 Cal.Rptr.2d 547, 891 P.2d 93.) Such
23 persons may be excluded from a trial to determine guilt as well as
24 the penalty phase of a capital trial, should the case get that far.
25 (People v. Jackson (1996) 13 Cal.4th 1164, 1198-1199, 56
26 Cal.Rptr.2d 49, 920 P.2d 1254; People v. Wader (1993) 5 Cal.4th
610, 648, 20 Cal.Rptr.2d 788, 854 P.2d 80.)

Obviously, defendant was not entitled to a juror who, thinking this
could be a capital case, would be impaired in the performance of
the duties of a juror. (People v. Earp, supra, 20 Cal.4th at p. 852,
85 Cal.Rptr.2d 857, 978 P.2d 15; People v. Hecker, supra, 219
Cal.App.3d at p. 1245, 268 Cal.Rptr. 884.) When the issue arose,
the trial court handled it in a logical and entirely appropriate way
by telling the juror this was not a death penalty case, with a strong
admonition that the issue of punishment should not be considered
in any way.

This procedure was well within the broad discretion of the trial
court in conducting voir dire.

(Opinion at 31-33.)

Petitioner argues that the trial judge's response to the juror's question about the
death penalty improperly “injected the topic of penalty as a consideration.” (Pet. at 30.) He

1 argues that the instruction caused the jurors to hear the trial evidence “through the tainted filter of
2 that information,” and may have led them to take their responsibility as jurors less seriously than
3 they would if petitioner’s trial had been a capital case. (Id.) Petitioner also argues that the record
4 “supports an inference” that the jury verdict might have been affected by the trial court’s
5 instruction because the jurors “apparently believed that this was a close case.” (Id. at 29.) He
6 notes, in this regard, that the jurors deliberated for 17 ½ hours, requested a read-back of
7 testimony, asked several questions indicating they believed petitioner’s involvement in the crime
8 may have been limited to disposing of the body and being present in the room where the murder
9 occurred, and ultimately found him guilty of only second degree murder. (Id.; CT at 648.)
10 Petitioner also argues that since the trial court told the jurors the case did not involve the death
11 penalty, it was unfair not to tell the jurors that the prosecution was seeking a penalty of life
12 without the possibility of parole. (Pet. at 31.) Petitioner contends that the trial judge’s
13 instruction posed an “unjustifiable risk of [juror] bias,” in violation of the Sixth Amendment
14 right to an impartial jury. (Id.) He also argues that the instruction violated his Fourteenth
15 Amendment right to due process because it lightened the prosecutor’s burden to prove each
16 element of the crime beyond a reasonable doubt. (Id. at 32.)

17 It is true that the Sixth Amendment right to a jury trial “guarantees to the
18 criminally accused a fair trial by a panel of impartial, ‘indifferent’ jurors.” Irvin v. Dowd, 366
19 U.S. 717, 722 (1961). Due process requires that the defendant be tried by “a jury capable and
20 willing to decide the case solely on the evidence before it.” Smith v. Phillips, 455 U.S. 209, 217
21 (1982). However, there is no evidence in this case that any of the jurors were biased against
22 petitioner or that, because they knew the case did not involve the death penalty, they were
23 unwilling or unable to decide the case based on the evidence introduced at trial. Petitioner’s
24 claim that the verdict may have been influenced by the trial court’s answer to the juror’s question
25 during voir dire is based on speculation and should be rejected on that basis. In addition, the
26 jurors at petitioner’s trial were instructed that the subject of penalty should not enter into their

1 deliberations. (CT at 632.) Courts “generally presume that jurors follow their instructions.”
2 Penry v. Johnson, 532 U.S. 782, 799 (2001).

3 Petitioner’s claim that the trial court’s advisement violated his right to due process
4 should also be rejected. The prosecution in a criminal case has the burden of proving each and
5 every element of the crime charged beyond a reasonable doubt. In re Winship, 397 U.S. 358, 364
6 (1970). However, the trial judge’s response to the juror during voir dire did not suggest some
7 lesser burden of proof was born by the prosecution. There is no evidence that the jurors found
8 against petitioner on any of the elements of the offense simply because this case did not involve
9 the death penalty. In short, the trial judge accurately responded to a question by one of the jurors
10 and, in doing so, eliminated the possibility that a juror would improperly decide the case based
11 solely on his or her opposition to the death penalty. The court’s response was proper under state
12 law, did not violate petitioner’s federal constitutional rights, and did not render petitioner’s trial
13 fundamentally unfair. Accordingly, petitioner is not entitled to habeas relief on this claim.

14 5. Pinpoint Instructions on Forgery and the Intent to Defraud

15 Petitioner’s next claim is that the trial court violated his right to due process when
16 it failed to give “pinpoint” jury instructions to the effect that Detective Hubbard committed
17 forgery and had the intent to defraud when he manufactured the false laboratory report and
18 showed it to petitioner during his interrogation. The California Court of Appeal rejected this
19 argument, reasoning as follows:

20 Defendant contends the trial court erred in failing to give
21 instructions he requested on forgery and the intent to defraud.
22 (CALJIC Nos. 15.00, 15.26.) He requested the instructions with
23 respect to Detective Hubbard’s fabrication of a Department of
Justice report. The court declined to give them but said, “[o]f
course, counsel can make appropriate arguments based upon the
evidence.”

24 The trial court ruled correctly. For impeachment purposes, a trial
25 court has discretion to admit evidence of conduct that evinces
26 dishonesty or moral turpitude regardless of whether the conduct
resulted in a criminal conviction. (People v. Wheeler (1992) 4
Cal.4th 284, 288, 14 Cal.Rptr.2d 418, 841 P.2d 938.) However,

1 “[f]rom a logical standpoint, it makes no sense to require the trial
2 court to ‘name that crime,’ to the exclusion of others, when
3 presented with facts of past misconduct. This not only impinges on
4 the prosecution’s theoretical discretion to charge offenses, but it is
5 meaningless, since there never will be a conviction for any
6 particular offense arising from the conduct Whether the trial
7 court admits evidence of past misconduct should be determined
8 solely on the basis that that conduct evinces moral turpitude. The
9 label is not important - the conduct is.” (People v. Lepolo (1997)
10 55 Cal.App.4th 85, 89-90, 63 Cal.Rptr.2d 735.) Defendant was
11 permitted to present evidence of Hubbard’s conduct and to make
12 arguments based thereon. That was all to which he was entitled.

13 (Opinion at 33-34.)

14 Petitioner argues that by refusing to give instructions on forgery and intent to
15 defraud, the trial court “decided the ultimate issue that Detective Hubbard’s use of forgery to
16 seek a confession was not fraud.” (Pet. at 32.) He argues that the court should have allowed the
17 jury to “make an ultimate factual determination.” (Id.) Petitioner contends that the trial court’s
18 failure to give these requested jury instructions violated his right to “a properly instructed jury,
19 present a defense, the effective assistance of trial counsel, and the Due Process clause of the
20 United States Constitution.” (Id.) He asserts that, “it is improper to relegate the defense to
21 presenting its legal theories solely in argument without instructions to assist the jurors in
22 evaluating the evidence and argument.” (Id. at 34.)

23 During the jury instruction conference, petitioner’s counsel requested that the
24 court instruct the jury with: (1) that portion of CALJIC 2.20 which states that the jury may
25 consider the “past criminal conduct of the witness amounting to a misdemeanor” in determining
26 the believability of a witness; and (2) instructions defining forgery and intent to defraud. (RT at
1180.) Defense counsel argued that the instructions were relevant because “Detective Hubbard
admitted to dummying up a DOJ forensic report, having somebody forge the name of Carmel
Suther, a locally well-known DOJ employee, and then using it, which what I would contend
would be an intent to defraud my client.” (Id.) The trial court refused to give the requested
instructions, ruling as follows:

1 THE COURT: Okay. In reviewing CALJIC 15.26, the definition
2 of intent to defraud, recollecting that the testimony of the witness
3 was the reason for using the document was to obtain the truth,
4 recognizing that it could either prompt certain statements that
would be incriminatory or exculpatory, using it as a tool to assess
the credibility of the person he was interviewing, I do not believe it
falls within the parameters of the definition of intent to defraud.
So for that reason, finding the evidence doesn't support a giving of
that instruction, I won't give the instruction. Of course, counsel
can make appropriate arguments based upon the evidence.

6
7 (Id. at 1181-82.)

8 Petitioner has failed to demonstrate that the trial court's failure to give the above
9 described jury instructions as requested violated his federal constitutional rights. As explained
10 by the state appellate court, the trial court's rejection of these instructions was correct under
11 California law. See Mullaney v. Wilbur, 421 U.S. 684, 691 n.11 (1975) (federal courts will not
12 review an interpretation by a state court of its own laws unless that interpretation is clearly
13 untenable and amounts to a subterfuge to avoid federal review of a deprivation by the state of
14 rights guaranteed by the Constitution). Moreover, even if the trial court erred as matter of state
15 law in its ruling, "a mere error of state law is not a denial of due process." Rivera v. Illinois, ____
16 U.S. ___, 129 S.Ct. 1446, 1454 (2009) (quoting Engle, 456 U.S. at 121 n.21). The Due Process
17 Clause "safeguards not the meticulous observance of state procedural prescriptions, but 'the
18 fundamental elements of fairness in a criminal trial.'" Id. (quoting Spencer v. Texas, 385 U.S.
19 554, 563-564 (1967)). Petitioner has failed to demonstrate that the failure to give these jury
20 instructions "so infected the entire trial that the resulting conviction violate[d] due process."
21 Estelle, 502 U.S. at 72.

22 Petitioner has also failed to show that the trial court's ruling violated his rights to
23 present a defense or to the effective assistance of counsel. Petitioner's counsel was not prevented
24 from arguing that Detective Hubbard's use of the fraudulent lab report rendered petitioner's
25 subsequent statements involuntary. Accordingly, petitioner is not entitled to relief on these
26 claims.

1 D. Prosecutorial Misconduct

2 Petitioner's next claim is that the prosecutor committed misconduct in discussing
3 the meaning of the term "reasonable doubt" during his closing argument to the jury. The
4 California Court of Appeal rejected this argument by petitioner, reasoning as follows:

5 Lastly, defendant contends the judgment must be reversed because
6 the prosecutor misstated the burden of proof.

7 During argument, the prosecutor said: "Now, I'd just like to talk
8 for just a minute or two about reasonable doubt. My burden is to
9 prove the defendant guilty to you beyond a reasonable doubt. And
10 the Judge has already read the jury instruction. It's not a mere
11 possible doubt, because everything related to human affairs is
12 subjected to some possible or imaginary doubt. You know, what
13 does that really mean in layman's language? You know, what is
14 beyond a reasonable doubt? And this is an important concept,
15 because you can't find the defendant guilty unless you are
16 convinced I've proven him guilty beyond a reasonable doubt. [¶]
17 So I want to give you my interpretation of reasonable doubt. And I
18 want you to look at it. Reasonable doubt has to be a doubt with a
19 reason. You have to be able to say, I don't think the defendant's
20 guilty because-because I don't believe anything Carla Cline said.
21 Or because I don't believe Fran Evans' analysis. Or because of this.
22 It can't just be, you know, I have a reasonable doubt as to the
23 defendant's guilt. You know, just something doesn't seem right.
24 Or, you know, there's something there that's missing, but I don't
25 know what it is. There has got to be a doubt you can articulate.
26 You have to be able to say that I have a reasonable doubt as to the
defendant's guilt and that doubt is this and because of this I can't
find him guilty."

Defense counsel objected, and the trial court said: "Well, I will
just remind the jury that this is argument and what the lawyers say
is not to be taken as the law. The law is what I read to you in the
instructions that you get. And you may find some differences
between what the lawyer describes to you as their understanding
and what the law is and what your understanding is."

When the prosecutor returned to his argument, he also told the jury
that the law is what is in the jury instruction and not in his
argument. He said he was just trying to give an example of how he
would like the jury to look at it. He said there is no fixed level at
which beyond a reasonable doubt is reached. You know, it's - it's
really the state of your own mind at the end of all the evidence."

Penal Code section 1096 provides: "Reasonable doubt is defined
as follows: 'It is not a mere possible doubt; because everything
relating to human affairs is open to some possible or imaginary

1 doubt. It is that state of the case, which, after the entire
2 comparison and consideration of all the evidence, leaves the minds
3 of jurors in that condition that they cannot say they feel an abiding
4 conviction of the truth of the charge.””

5 Reasonable doubt, as the term implies, must be founded in reason
6 and not mere speculation or imagination. To the extent this was
7 the point of the prosecutor’s comments, it was not improper. But
8 reasonable doubt does not require the jurors to articulate a specific
9 reason for their doubt. It is enough that, upon consideration of all
10 of the evidence, they are not sufficiently convinced of the
11 defendant’s guilt. To the extent the prosecutor suggested the jury
12 must articulate a reason for their doubt, the argument was
13 incorrect. (See People v. Hill (1998) 17 Cal.4th 800, 831-832, 72
14 Cal.Rptr.2d 656, 952 P.2d 673.)

15 “In evaluating a claim of prejudicial misconduct based upon a
16 prosecutor’s comments to the jury, we decide whether there is a
17 reasonable possibility that the jury construed or applied the
18 prosecutor’s comments in an objectionable manner.” (People v.
19 Cunningham (2001) 25 Cal.4th 926, 1019, 108 Cal.Rptr.2d 291, 25
20 P.3d 519.) In doing so, we consider the context in which the
21 statements were made, instructions given the jury by the trial court,
22 and any steps that were taken to cure the error. (People v. Bell
23 (1989) 49 Cal.3d 502, 540, 262 Cal.Rptr. 1, 778 P.2d 129; People
24 v. Nguyen (1995) 40 Cal.App.4th 28, 36-37, 46 Cal.Rptr.2d 840.)

25 The trial court instructed the jury: “You must accept and follow
26 the law as I state it to you, regardless of whether you agree with the
law. If anything concerning the law said by the attorneys in their
arguments or at any other time during the trial conflicts with my
instructions on the law, you must follow my instructions.” The
court instructed the jury on reasonable doubt in the precise
language of Penal Code section 1096. And the jury was provided
with a written copy of the instruction for use during deliberations.

27 When, during argument, the prosecutor suggested that the jury
28 must be able to articulate a reason for a doubt, the trial court
29 admonished the jurors that they must follow the jury instructions
30 and not the arguments of counsel. The prosecutor promptly
31 agreed. He abandoned the articulated-reason argument and
32 correctly informed the jury that “it’s really the state of your own
33 mind at the end of all the evidence.”

34 Hence, we perceive no reasonable possibility that the jury
35 convicted defendant despite a reasonable doubt and simply because
36 it could not articulate a reason for such a doubt. In short, there is
37 no reasonable possibility that the jury construed or applied the
38 prosecutor’s comments in an objectionable manner. (People v.
39 Nguyen)

40 //

1 Cunningham, supra, 25 Cal.4th at p. 1019, 108 Cal.Rptr.2d 291, 25
2 P.3d 519.)

3 (Opinion at 34-38.)

4 A criminal defendant's due process rights are violated when a prosecutor's
5 misconduct renders a trial fundamentally unfair. Darden v. Wainwright, 477 U.S. 168, 181
6 (1986). However, such misconduct does not, per se, violate a petitioner's constitutional rights.
7 Jeffries v. Blodgett, 5 F.3d 1180, 1191 (9th Cir. 1993) (citing Darden, 477 U.S. at 181, and
8 Campbell v. Kincheloe, 829 F.2d 1453, 1457 (9th Cir. 1987)). Claims of prosecutorial
9 misconduct are reviewed "on the merits, examining the entire proceedings to determine whether
10 the prosecutor's [actions] so infected the trial with unfairness as to make the resulting conviction
11 a denial of due process." Johnson v. Sublett, 63 F.3d 926, 929 (9th Cir. 1995) (citation
12 omitted). See also Greer v. Miller, 483 U.S. 756, 765 (1987); Donnelly v. DeChristoforo, 416
13 U.S. 637, 643 (1974); Turner v Calderon, 281 F.3d 851, 868 (9th Cir. 2002). Relief on such
14 claims is limited to cases in which the petitioner can establish that prosecutorial misconduct
15 resulted in actual prejudice. Johnson, 63 F.3d at 930 (citing Brecht, 507 U.S. at 637-38); see also
16 Darden, 477 U.S. at 181-83; Turner, 281 F.3d at 868. Put another way, prosecutorial misconduct
17 violates due process when it has a substantial and injurious effect or influence in determining the
18 jury's verdict. See Ortiz-Sandoval v. Gomez, 81 F.3d 891, 899 (9th Cir. 1996). Finally, it is the
19 petitioner's burden to state facts that point to a real possibility of constitutional error in this
20 regard. See O'Bremski v. Maass, 915 F.2d 418, 420 (9th Cir. 1990).

21 In considering claims of prosecutorial misconduct involving allegations of
22 improper argument the court is to examine the likely effect of the statements in the context in
23 which they were made and determine whether the comments so infected the trial with unfairness
24 as to render the resulting conviction a denial of due process. Turner, 281 F.3d at 868; Sandoval
25 v. Calderon, 241 F.3d 765, 778 (9th Cir. 2001); see also Donnelly, 416 U.S. at 643; Darden, 477
26 U.S. at 181-83. Thus, in order to determine whether a prosecutor engaged in misconduct in

1 closing argument, it is necessary to examine the entire proceedings to place the remarks in
2 context. See United States v. Robinson, 485 U.S. 25, 33 (1988) (“[P]rosecutorial comment must
3 be examined in context . . .”); Greer, 483 U.S. at 765-66; Williams v. Borg, 139 F.3d 737, 745
4 (9th Cir. 1998).

5 This court concludes that the prosecutor’s comments during his closing argument
6 about reasonable doubt did not violate petitioner’s rights to due process or a jury trial. As
7 discussed by the state appellate court, the jury was correctly instructed by the court as to the
8 definition of reasonable doubt. The prosecutor abandoned his improper argument after the trial
9 judge admonished the jury to follow the jury instructions given by the court and not the
10 arguments of counsel. “The jury is regularly presumed to accept the law as stated by the court,
11 not as stated by counsel.” United States v. Rodrigues, 159 F.3d 439, 451 (9th Cir. 1998). See
12 also United States v. Medina Casteneda, 511 F.3d 1246, 1249-50 (9th Cir. 2008) (misstatement
13 of the reasonable doubt standard by the prosecutor in closing argument did not entitle defendant
14 to reversal of his conviction because the jury instructions properly defined the beyond a
15 reasonable doubt standard). Moreover, misstatements of the law by prosecutors in closing
16 argument “are not to be judged as having the same force as an instruction from the court.” Allen
17 v. Woodford, 395 F.3d 979, 1010 (9th Cir. 2005) (quoting Boyde, 494 U.S. at 384-85). Under
18 the circumstances presented here, the state appellate court’s conclusion that the prosecutor did
19 not commit misconduct during closing argument is not contrary to or an unreasonable application
20 of federal law. Accordingly, petitioner is not entitled to relief on this claim.

21 E. Failure of the California Court of Appeal to Address Issues

22 Petitioner’s final claim is that the California Court of Appeal improperly refused
23 to address several of his arguments raised on appeal, in violation of his rights to due process and
24 appellate review. (Pet. at 35-37.) Specifically, petitioner asserts that the state appellate court
25 “made only passing reference” to his claim regarding the admissibility of Taylor’s statements to
26 Burbank, “failed to address” his claim of outrageous government conduct, and “failed to squarely

1 address" his argument that an adoptive admission requires an adoption of a true statement. (Id. at
2 36.)

3 Assuming arguendo that the California Court of Appeal failed to fully address
4 each of petitioner's arguments on appeal, such an allegation fail to state a cognizable claim for
5 federal habeas relief. In this regard, petitioner's claim is based upon the alleged violation of state
6 law and is not cognizable in this federal habeas proceeding. Ortiz v. Stewart, 149 F.3d 923, 939
7 (9th Cir. 1998); Gerlaugh v. Stewart, 129 F.3d 1027, 1045 (9th Cir. 1997); Franzen v. Brinkman,
8 877 F.2d 26 (9th Cir. 1989) (alleged errors in a state post-conviction review proceeding are not
9 addressable through federal habeas corpus). Accordingly, petitioner is not entitled to federal
10 habeas relief on this claim.

11 CONCLUSION

12 Accordingly, IT IS HEREBY RECOMMENDED that petitioner's application for
13 a writ of habeas corpus be denied.

14 These findings and recommendations are submitted to the United States District
15 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty
16 days after being served with these findings and recommendations, any party may file written
17 objections with the court and serve a copy on all parties. Such a document should be captioned
18 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections
19 shall be served and filed within ten days after service of the objections. The parties are advised
20 that failure to file objections within the specified time may waive the right to appeal the District
21 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

22 DATED: June 17, 2009.

23
24 
25 DALE A. DROZD
26 UNITED STATES MAGISTRATE JUDGE

DAD:8
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